



# Residential Faculty Policies

~~2014~~—2015 - 2016

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## 1. POLICY STATEMENT AND DEFINITIONS

### 1.1. Policy Statement

#### 1.1.1.

The Chancellor of the Maricopa County Community College District (MCCCD) hereby retains and reserves, without limitation, all powers, rights, authority, duties, and responsibilities conferred upon the position or vested in the position, by the laws and constitutions of the State of Arizona and the United States, and all rights and powers, to manage the MCCCD and direct the Faculty (including assignment) of the MCCCD except as otherwise provided in this policy.

#### 1.1.2.

The Chancellor shall have the right to establish such standards of professional conduct and rules and regulations that are not in conflict with this policy.

#### 1.1.3.

It is recognized by the Faculty and Administration that it is desirable, in order to establish the terms and conditions governing employment, for representatives to meet and confer, in good faith, about policies affecting responsibilities and benefits pertaining to Residential Faculty employment.

#### 1.1.4.

For this reason, this policy statement is adopted by the Governing Board of MCCCD and the Faculty Association, which is the nonexclusive representative of the MCCCD Residential Faculty. It is understood that this policy manual is applicable to Residential Faculty who are not members of the Faculty Association.

#### 1.1.5.

The Governing Board and its designees shall not discriminate against Faculty because of their membership and/or participation in the Faculty Association or any other recognized organization.

### 1.2. Definitions

#### **Accountability/Professional Responsibilities**

As the central mission of the MCCCD is teaching and learning, it is inherent in the professionalism of Faculty that additional hours, outside of teaching, are necessary for the enrichment of the colleges, District, and external communities. Professional responsibilities include, but are not limited to, academic support hours with students and active participation in Department/Division, College, and/or District activities.

#### **College Plan**

The *College Plan* is a document developed by the college Residential Faculty and approved by the College President that describes, but is not limited to, the selection, evaluation, duties, and responsibilities of the Department/Division Chair, and the duties and responsibilities of the Occupational Program Director. (See Appendix D). Colleges with both divisions and departments will also describe the allocation of reassigned time and Chair pay.

### **College Staffing Advisory Committee**

The College Staffing Advisory Committee consists of the appropriate instructional administrator and at least four (4) Faculty appointed by the Faculty Senate President. The instructional administrator will be a nonvoting member of this committee.

The College Staffing Advisory Committee will consult with Department/Division Chairs and other appropriate personnel in order to make recommendations on the staffing of Faculty positions. The committee's recommendations will be delivered to the College President.

Relevant data related to the college will be provided to the committee by the appropriate college personnel as requested by the College Staffing Advisory Committee. Relevant data includes, but is not limited to, the residential/adjunct ratio by discipline, the FTTE/FTSE ratio by discipline, FTSE by discipline, headcount by discipline, and the number of budgeted Residential Faculty lines at the college.

### **Common Policies Committee**

The Common Policies Committee is a Governing Board-approved District committee consisting of the official representative of each employee group.

### **Day**

Unless otherwise stated, the term "day(s)" in this manual means calendar day(s).

### **Day Program**

The Day Program shall apply to the 195 consecutive days between mid-August and mid-May, of which 170 shall be days of accountability and shall be Monday through Friday starting at 6:00 a.m. and ending at 3:55 p.m., except for a section(s) of a course(s) starting prior to 3:55 p.m., or for section(s) of a course(s) that are part of an established day curriculum and must be offered after 3:55 p.m.

### **Department/Division Chair**

A Department/Division Chair is a Faculty member as defined in the *Residential Faculty Policies* with certain administrative functions as assigned by appropriate college personnel. (See Appendix D.) [Rio Salado College is an exception since its faculty model does not include Department/Division Chairs.](#)

### **District Faculty Association President**

The District Faculty Association President is the elected representative of Residential Faculty throughout the MCCCDC.

### **Educational Institutions**

For the purposes of implementing this policy, the terms "college," "community college center," or any other term indicating an institution as an educational institution, will be regarded as being identical in meaning. Unless otherwise specified herein, the provisions of this policy will be applied fully at all such institutions within the MCCCDC, except as indicated in Section 5.2.

### **Evening Program**

The Evening Program shall apply to the 195 consecutive days between mid-August and mid-May, of which 170 shall be days of accountability and shall encompass programs taught and/or supervised beyond those hours defined by the Day Program set forth in Section 1.2.



## **Faculty Governance**

Faculty governance is a process determined by the Residential Faculty. It is understood that the Residential Faculty have primary responsibility for such fundamental areas as curriculum, subject matter and methods of instruction, research, Faculty status, and those aspects of student life which relate to the educational process.

If a college chooses not to participate in a governance system, the College President may make necessary committee appointments to carry out the educational objectives of the College and District. Should this occur, the College President will be cognizant of the governance standards at other colleges.

## **Faculty Professional Growth Appeals Committee**

The Faculty Professional Growth Appeals Committee shall be the FPG Policy Review Committee. Decisions will require a 2/3 majority vote.

## **Faculty Professional Growth Committee**

The Faculty Professional Growth Committee is a ~~Governing Board~~Chancellor approved District committee consisting of the Executive Vice Chancellor and Provost, a Vice President of Academic Affairs or designee and two (2) Faculty representatives from each college. The Faculty representatives will be appointed by the Faculty Senate Presidents from each college. The District Faculty Association President, or designee, will serve ex officio and be a nonvoting member.

## **Faculty Senate Presidents**

The Faculty Senate Presidents are elected representatives of the Residential Faculty of each college.

## **Faculty Service Areas**

The primary Faculty Service Area (FSA) of instructional faculty is the FSA in which the majority of the faculty member's instructional load is taught over the most recently completed academic year. For service faculty, the primary FSA is the service area in which the faculty member has worked the majority of hours over the most recently completed academic year.

A secondary Faculty Service Area is any non-primary FSA in which a Residential Faculty meets the minimum hiring qualifications for the FSA. If an FSA has different requirements for Appointive Faculty than for Probationary Faculty, the Residential Faculty member must meet the minimum qualifications consistent with the member's appointive or probationary status.

## **Grievance**

A grievance is an alleged misapplication, misinterpretation, or violation of a specific provision(s) of these policies that adversely affects the grievant (*i.e.*, an individual or a group of individuals that makes the claim). An interested party is a grievant or any person who might be required to take action, or against whom action might be taken, in order to resolve the claim.

The outcomes of the following items are not grievable:

- 3.12. Faculty Member Dismissal—Probationary and Appointive
- 3.16. Retain and Retrain Policy



- 4.6.2. Increment and/or Salary Increase Withholding for Individual Faculty Members.
- APPENDIX A - FACULTY PROFESSIONAL GROWTH POLICIES

### **Individual Development Plan (IDP)**

The Individual Development Plan (IDP) is an annual professional growth process through which Probationary Faculty document their instructional expertise, service to the department/division, college, and district, and professional development. The IDP is evaluated by the Peer Assistance and Review Committee (PARC) and is the basis for recommendations related to probationary contract renewal and appointive status.

### **Instructional Councils**

Instructional Councils are discipline/service area Faculty committees formed to improve communications and coordination among and between Faculty working in common instructional/service areas. Membership in the Instructional Councils will be appointed by the Department/Division Chair at each college with the consent of the majority of the Residential Faculty in the appropriate discipline. The appointee will be qualified in the appropriate discipline. The Department/Division Chairs will report the appointments to their college's Faculty Senate President. Policies governing Instructional Councils are found in the current "Instructional Council Guidelines." Issues involving Instructional Councils are subject to Resolution of Controversy. (See Section 6.2.)

### **Interest-Based Negotiation (IBN)**

A process of negotiation focused on working together collaboratively to find solutions to issues. IBN is characterized by identifying issues to work, detailing the history and interests surrounding the issues, brainstorming options that address the issues, evaluating options based on agreed-upon criteria, and reaching consensus on a preferred option based on interests.

### **Meet and Confer Team**

The meet and confer team includes an equal number of representatives from the Faculty and the Administration. The team is co-chaired by a faculty member and an administrator. The team is charged with using interest-based negotiation in the Meet and Confer Process.

### **Meet and Confer Process**

The Meet and Confer Process is a process of deliberation between the [Governing Board/Chancellor](#) and Faculty Association, including Residential Faculty who are not members of the Faculty Association, for the purpose of articulating agreement regarding change with respect to responsibilities, wages, governance, benefits, and all other terms and conditions of Residential Faculty employment. The process is detailed in Section 7.4.

### **Occupational Program Director**

Faculty members who serve in the capacity of Occupational Program Director shall, while exercising the responsibilities of that position, have responsibilities which may include any or all of the following: responsibility for occupational program including organization, administration, periodic review, continuing development, and general quality/effectiveness. The primary focus of this position rests with the specific program management rather than issues of general institutional management. [Rio Salado College is an exception since its faculty model does not include Occupational Program Directors.](#)

## Peer Assistance and Review Committee

The Peer Assistance and Review Committee (PARC) consists of the appropriate instructional administrator and at least four (4) trained, Appointive Faculty appointed by the Faculty Senate President in collaboration with the appropriate college Vice President. The Peer Assistance and Review Committee (PARC) will evaluate all Probationary Faculty Individual Development Plans (IDPs) and make recommendations to the College President related to the renewal of the probationary appointment, and when appropriate, the granting of appointive status.

## Residential/Adjunct Faculty Ratio

The residential/adjunct ratio is defined as follows:

$$\text{residential/adjunct ratio} = \frac{\text{residential load hours}}{\text{total load hours}} ; \frac{\text{adjunct load hours}}{\text{total load hours}}$$

where total load hours is the sum of residential and adjunct instructional load hours for the Fall and Spring semesters for the prior academic year (excluding Rio Salado). For this computation, residential overload hours and OYO/OSO instructional load hours are counted as residential load hours.

## Residential Faculty

Residential Faculty are Faculty members who hold probationary or appointive status. (Herein referred to as "Faculty members" or "Faculty.")

### Appointive Status.

Appointive status is attained by a Faculty member at the beginning of his/her sixth consecutive year calculated from the start date of the first contract. (Hereinafter called "Appointive Faculty.")

### Probationary Status.

Probationary status is assigned to all Faculty members who have not attained appointive status. (Hereinafter called "Probationary Faculty.")

In order to earn credit toward appointive status, a Probationary Faculty must meet the following three conditions:

1. Be evaluated each calendar/academic year according to the procedures in **Section 3.6.**
2. Not accumulate unpaid absences in excess of 20% of his/her accountability days per semester.
3. Meet all conditions established in **Section 3.6.2. or 3.10.2.2.**

If these aforementioned conditions are not met, the probationary period may be extended an additional semester for each deficient semester.

## Residential Faculty Policy Review Process

The Residential Faculty Policy Review Process exists for the purpose of interpretation and recommendation for change in the *Residential Faculty Policies*. (See Section 2.9.1.)

**Resolution of Controversy**

Resolution of Controversy is a method used to resolve matters as referenced in Section 6.2. that are not specifically addressed by the *Residential Faculty Policies*.

**Seniority**

Seniority shall be based on continuing Residential employment, and shall date from the time of first paid service as a Residential Faculty member (*i.e.*, tenure track date).

## **2. RIGHTS OF RESIDENTIAL FACULTY**

### **2.1. Information**

Upon written request, the ~~Governing Board~~ Chancellor, through the office of the appropriate Vice Chancellor, agrees to provide to the elected representatives of the Faculty information that is relevant for the Meet and Confer process (see Appendix G) and/or the implementation of the *Residential Faculty Policies*. It is understood that the Board will make a good-faith effort to honor Faculty requests for information.

### **2.2. Time for Meetings**

Faculty can participate during hours of accountability (if it does not interfere with scheduled assignments) in meetings and grievance proceedings. Such meetings must normally not conflict with the scheduled assignment of those Faculty involved.

### **2.3. Use of College Facilities**

The Faculty shall have the right of access to college buildings for the purpose of Faculty business, providing there is no interference with the regular academic program. The usual facility reservation procedure will be followed.

### **2.4. Use of District Equipment**

The Faculty shall have the right, for the purpose of member representation, to use District equipment at reasonable times at the assigned location, including word processors, computers, duplicating and media equipment, and other apparatus and material, provided such equipment is not otherwise in use. The Board will make supplies available at its cost for such purposes.

### **2.5. Office Space**

#### **2.5.1.**

Office space will be provided for the Faculty at the colleges.

#### **2.5.2.**

The District Faculty Association President will be provided with office space either at the District Support Services Center or at a college mutually agreed upon by both parties.

### **2.6. Mail**

#### **2.6.1.**

Faculty shall have use of intra-college and intra-District mail facilities, including electronic mail, within current guidelines and policies.

#### **2.6.2.**

In order to encourage communication, auditoria and bulletin boards shall be made available for Faculty news and activities.

### **2.7. Participation in Educational Policy Making**

#### **2.7.1.**

Effective means of two-way communication between individual Faculty members and the administration shall exist for the promotion of professional practices.

**2.7.2.**

Policy and administrative regulations affecting the Faculty shall be discussed, before implementation, with the Faculty at the appropriate level.

**2.7.3.**

Policy recommending committees that plan, propose, or recommend any action that will influence decisions regarding the educational program of the District shall be a part of the administrative structure at each college and a part of the District administrative structure. Such policy recommending committees shall include representatives of the Faculty.

**2.8. Board Meetings**

**2.8.1.**

The District Faculty Association President shall be accorded sufficient time at all regular Governing Board meetings to present Faculty views.

**2.8.2.**

The Faculty and the District Faculty Association President shall be furnished notice of all regular and special meetings of the Governing Board, stating date, time, and location. In addition, agendas, minutes, budgetary information, and study materials will be furnished to the District Faculty Association President at the same time and in the same form as those furnished the Board.

**2.9. Personnel Policy Revision and Interpretation**

**2.9.1.**

~~Contested interpretation of existing policy (excluding alleged grievances against violations of this policy) shall be forwarded in writing by the District Faculty Association President to the Vice Chancellor for Human Resources, or designee, as well as any other applicable Vice Chancellor involved.~~

**2.9.2.**

The Vice Chancellor for Human Resources, or designee, shall meet to consult with the District Faculty Association President at least once every semester to discuss contested interpretations (if any) of existing policy. Other Vice Chancellors shall also be notified as appropriate. ~~The parties shall agree on one of the following:~~

**2.9.2.1.**

~~The item is not of the utmost urgency and shall be deferred to be handled during the next Meet and Confer process.~~

~~At the discretion of the Vice Chancellor for Human Resources, other parties may be consulted.~~

**2.9.2.**

The District Faculty Association President may contest interpretation of existing policy in writing to the Vice Chancellor for Human Resources, or designee. Other applicable Vice Chancellors will be informed of the request. Individual concerns about misapplications of this policy shall be resolved by the grievance process outlined in Section 6.1. of this policy.

**2.9.2.2.1.**

~~The parties reach an agreement~~  
~~on~~ Upon receipt of the request, the Vice Chancellor for Human Resources will, at his/her discretion, convene a meeting of the District Faculty Association President, a representative of the appropriate Vice President's Council, and a member of the Chancellor's Executive Council to discuss the request.

**2.9.2.2.**

~~If the interpretation, reduce the agreement of the Vice Chancellor for Human Resources is agreed to by the District Faculty Association, the agreement will be reduced to writing for signatures~~ by both parties, ~~and forward the written agreement to the Chancellor with the recommendation that it be placed on the next Board agenda for consideration.~~

**2.9.2.3.**

~~If the item is of utmost urgency and~~ If agreement cannot be reached, ~~both parties will~~ the Vice Chancellor for Human Resources and the District Faculty Association will each submit, within fourteen (14) days ~~of initial consultations,~~ their position statements to the Chancellor. The Chancellor will review the positions of the parties and ~~prepare a recommendation for the Governing Board. In addition to the Chancellor's statement, position statements from the parties will also be submitted to the Governing Board for their consideration and action.~~ render a decision. The Chancellor may consult with both parties. The decision of the Chancellor is final.

**2.10. Budget Formulation Participation**

**2.10.1.**

The District Faculty Association and the Faculty shall receive reasonable notice of District budget-formulation meetings. Representatives of the District Faculty Association will participate in the District budget development process through their membership in the Chancellor's Financial Advisory Council (FAC). Preliminary college budgets will be developed with the participation of the respective Faculty Senate Presidents or designees prior to their college's budget submission to FAC. The Faculty Senate President or designee may submit to the College President recommendations on budget appropriations for programs and priorities before the college budget is finalized. Any subsequent changes will be brought to the attention of the Faculty Senate Presidents before submission to FAC.

**2.10.2.**

The District Faculty Association President and the Faculty Senate Presidents shall have access, through their Faculty representatives on FAC, to all budget development materials made available to FAC members. The District Faculty Association President shall be provided, upon request, supporting materials related directly to issues brought before FAC, if such materials presently exist.

**2.11. Recruitment and Selection of Faculty/Faculty Screening of Administrative Personnel**

**2.11.1.**

The District Faculty Association President shall receive printed or electronic notice of Faculty and Management/Administrative/Technological (MAT) personnel posted vacancies. Faculty shall be advised, via electronic mail, of all Faculty transfer opportunities.

#### **2.11.2.**

At the direction of appropriate college personnel and with the concurrence and assistance of the Director of Employment and Employee Relations, individual colleges will establish screening/selection processes to fill Faculty vacancies. Their processes will include the active participation of the appropriate members of the Faculty and/or other appropriate directors of employment. College screening/~~selection~~interview committees will forward a written, unranked list of at least two (2), preferably three (3) to five (5), candidates to the College President for his/her consideration. At the written request of the College President, the college screening/~~selection~~interviewing committee will forward a written, ranked list. (In the event the screening/~~selection~~interviewing committee finds there are not at least two [2] qualified candidates to forward to the College President, the committee may ask the College President to consider reopening or extending the search process.)

##### **2.11.2.1.**

Faculty who ~~chair~~participate on screening/~~selection~~interviewing committees will undergo training offered through the District Human Resources Division prior to commencing a screening/~~selection~~interviewing process. Training updates will be provided by the District Human Resources Division as needed.

#### **2.11.3.**

Faculty participation on screening ~~and~~ interviewing committees shall be utilized in the ~~screening~~selection of new college and District administrative personnel.

##### **2.11.3.1.**

The College Senate Faculty President will recommend Faculty to serve on screening ~~and~~ interviewing committees for college administrative personnel.

##### **2.11.3.2.**

The District Faculty Executive Council will recommend Faculty to serve on screening ~~and~~ interviewing committees for District administrative personnel.

#### **2.11.4.**

All new Faculty must meet MCCCCD minimum requirements and any additional standards as recommended by the relevant Instructional Council, approved by the ~~Governing Board, Chancellor (or designee)~~, and on file with the District Division of Human Resources.

#### **2.11.5. MAT Member Reassigned to Faculty**

##### **2.11.5.1.**

A MAT member who has not previously held appointive status and is being reassigned to Faculty must be certified in the discipline to which he/she is reassigned, must meet hiring requirements that are currently in effect, and must serve the full probationary period. If the person began his/her

employment as a Faculty member prior to reassignment as a MAT member, he/she would meet the current hiring requirements if the reassignment to Faculty is in the same Faculty position that the MAT member previously held. The MAT member shall interview with the Department/Division Chair in the discipline. The Chair will forward a recommendation to the Vice President of Academic Affairs. The reassignment must be approved by the College President, who will consult with the appropriate Vice President and Department/Division Chair, and the Faculty Senate President. The Department/Division Chair will informally consult members of that Department/Division.

**2.11.5.2.**

Such MAT member shall be given credit toward the probationary period for any prior years with the District as a Residential Faculty member.



## 2.12. Faculty Load Reassignments

### 2.12.1.

Each fiscal year Faculty members shall be granted annual load hour reassignments to prepare for and participate in college and district educational policy making (per Section 2.7.) and other activities beneficial to achieving the district's vision, mission, and values.

Representative activities include:

- board meeting attendance (per Section 2.8.);
- budget formulation (per Section 2.10.);
- recruitment and selection committees (per Section 2.11.);
- providing a supportive network for new and existing Faculty that promotes greater Faculty satisfaction and retention;
- providing an additional channel of communication among Faculty and between Faculty and the Governing Board, the Chancellor and the Chancellor's Executive Council;
- identifying interests and concerns of the Faculty that may otherwise go unrecognized, providing recommendations or strategies to address these interests or concerns, and participating in the meet and confer process and other processes as needed; and
- collaborating with other employee groups, and other MCCCDC groups and committees, to develop a climate that allows all students, Faculty, and employees to succeed.

These load hours will not be used to influence the outcomes of elections. Load hours will be granted as follows:

District Governance:	<u>Per Year</u>
Faculty Association President .....	30 hours
Faculty Association President -Elect .....	15 hours

College Governance:

Senate President

<u>Number of RFP Faculty at College</u>	<u>Per Year</u>
0-199 Faculty .....	12 hours
200-249 Faculty .....	15 hours
250 or more Faculty .....	18 hours

**2.12.2.**

The Faculty Professional Growth Committee shall consist of two (2) Subcommittees. Each subcommittee Chair shall be selected by the Faculty Association Council of Presidents from among the members of the Faculty Professional Growth Committee. Each Chair shall receive 3.0 load hours per year. At the end of each Academic year, each Chair will issue a written report outlining accomplishments, problems, and recommendations to the FPG Policy Review Committee and the Executive Vice Chancellor and Provost.

Each of the Faculty Professional Growth Committee college representatives shall be awarded reassigned time according to the following scale:

<u>Number of RFP Faculty at College</u>	<u>Per Year</u>
20-125	3.0 hours
126-199	4.5 hours
200-270	6.0 hours
Over 270	9.0 hours

**2.12.3.**

Additional load reassignment may be granted by the Vice Chancellor for Human Resources to Faculty and the Faculty Association upon payment of all replacement costs to be computed in accordance with the provisions of Section C.3.3.

**2.13. Dues For Faculty Association**

Faculty who elect to join the Faculty Association can have their membership dues deducted from their salaries on a regular basis provided that they sign an authorization form to that effect.

### **3. RIGHTS OF MEMBERS**

#### **3.1. Instructional Rights/Academic Freedom**

Faculty are entitled to instructional freedom in discussing their subject with students, and they should exercise their best effort to ensure topics are relevant to their subject. Faculty will determine curriculum and relevant subject matter for courses, recommend the appropriate pedagogy, textbooks, and other materials relevant to teaching their subject.

Faculty shall maintain the right and responsibility to determine grades and other evaluations of student performance.

Outside of class, when Faculty express themselves as citizens or as public employees, they shall be free from institutional censorship or discipline. When acting as citizens, Faculty will exercise their best efforts to indicate that they are not speaking for the institution and to conduct themselves as scholars and representatives of higher education. When acting as public employees, Faculty will be allowed to speak freely on all matters of institutional governance, as is necessary to support a robust system of shared governance.

Faculty are entitled to freedom in research and in the publication of the results consistent with the provisions of Section 3.2. Intellectual Property Rights.

#### **3.2. Intellectual Property Rights**

MCCCD recognizes the academic exception of the Works Made for Hire Doctrine for Faculty whose work, disseminated in print or electronically, is created independently at the Faculty member's own initiative with the ordinary use of resources such as a library, office space and equipment, and computer and network facilities.

##### **3.2.1.**

A Faculty-developed work, disseminated in print or electronically, that has been commissioned or sponsored by MCCCD requires a signed written contract prior to the development of the work. MCCCD commissioned or sponsored works are defined as works with specified outcomes, that include the provision of compensation such as additional financial payment or release time for the Faculty developing the work and may include the use of substantial MCCCD resources.

##### **3.2.1.1.**

The contract for commissioned or sponsored works will include the following provisions:

##### **3.2.1.1.1.**

MCCCD can perform, communicate, or otherwise enjoy full use of commissioned or sponsored work for internal instructional, educational and administrative purposes without payment of royalty, license fee or similar considerations.

#### **3.2.1.1.2.**

The Faculty member who has developed the commissioned or sponsored work must obtain prior written approval from MCCCDCD for the use, sale, or licensing of it.

#### **3.2.2.**

Other provisions may be negotiated by the Faculty member and MCCCDCD and added to the contract. These may include the ability to edit and control the presentation of the work, the ability to change and update materials over time, the ability to create derivative or related works, and the sharing of costs and revenues associated with the commercialization of such work.

#### **3.2.3.**

A Faculty member shall not, in connection with any class, suggest or require that a student purchase instructional materials which the Faculty member has produced, and from the purchase of which the Faculty member or the Faculty member's designee is entitled to royalty or similar consideration, unless the materials have been:

##### **3.2.3.1.**

produced by a "recognized, independent publisher," defined as a commercial entity in the business of publishing books, periodicals, and similar instructional materials, and which performs editorial, printing, distribution, marketing, and other functions typically associated with commercial publishing at the publisher's expense; and

##### **3.2.3.2.**

previously approved for students' purchase by the Vice President of Academic Affairs at the college where the Faculty member teaches the class.

#### **3.2.4.**

A Faculty member shall not have any financial interest in or receive compensation from the sale of any unpublished instructional materials required or suggested for a class that the Faculty member teaches.

### **3.3. Personal Rights**

The ~~Governing Board~~Chancellor recognizes that the personal life of a Faculty member is not an appropriate concern of the MCCCDCD, provided it does not affect the Faculty member's effectiveness in fulfilling professional obligation(s).

### **3.4. Visitation of Faculty Members**

Brief class visits by administrative and/or staff personnel may be conducted without notice to the Faculty member in situations that need immediate attention for the normal operation of the College, for example, the safety and welfare of the Faculty and/or students. These visits will not be used for the purpose of the Faculty member evaluation.

### **3.5. Faculty Evaluation Plan (FEP) for Instructional Improvement**

Inasmuch as Residential Faculty are committed to quality teaching and instruction and are contracted to provide professional services to students, colleagues, and the MCCC, the Faculty do hereby agree to adhere to, support, and implement the following self-evaluation policies and procedures.

**3.5.1.** The objectives of the evaluation program are as follows:

**3.5.1.1.** To improve teaching performance.

**3.5.1.2.** To advise Residential Faculty members regularly and specifically of their strengths and weaknesses, progress, and overall status.

**3.5.2. Time Frame**

**3.5.2.1.**

Although the FEP can begin at any time, the appropriate college Vice President, through the appropriate Department/Division Chair, will be responsible for notifying Residential Faculty early in the fall semester of the evaluation year. The FEP must be completed and submitted to the appropriate college Vice President by June 30<sup>th</sup> of the evaluation year.

**3.5.2.2.**

Appointive Faculty must complete or review and update a plan every third year (or more often if the Faculty member desires).

**3.5.2.3.**

Each College Senate will appoint a "Resource Person" who is familiar with the FEP to help facilitate the process. The Faculty/Staff Development Specialist is a suggested candidate for this position.

**3.5.3. Areas for Evaluation**

To complete an FEP, each Residential Faculty must engage in a self-examination of the three Required Areas and at least two of the Elective Areas. Examples of the following areas can be found in the Faculty Evaluation Plan guidelines.

**3.5.3.1. Required Areas**

**3.5.3.1.1.** Teaching, Learning, and/or Service

**3.5.3.1.2.** Course Assessment and/or Program Development/Revision

**3.5.3.1.3.** Governance and/or Committee Participation at the College and/or District levels

**3.5.3.2. Elective Areas**

**3.5.3.2.1.** Professional Development

**3.5.3.2.2.** Acquisition of New Skills

**3.5.3.2.3.** Enhancement of Diversity

**3.5.3.2.4.** College Level Assessment of Learning Outcomes

**3.5.3.2.5.** Service to the Community

### **3.5.3.3. Related Areas**

In addition to an assessment of these “Three Required Areas and Two Elective Areas,” other “Related Areas” may also be selected by the Residential Faculty to review, in order to bring into better focus their full professional involvement at the college or within the MCCC. See the FEP guidelines for examples.

### **3.5.4. Participants**

The team will consist of:

#### **3.5.4.1.**

The Residential Faculty to be assessed will be the director of, and active participant in, the designing and implementation of his/her FEP. He/She will carry the major responsibility for gathering the information about and completing the plan to the best of the person’s ability.

#### **3.5.4.2.**

An FEP committee member (to be chosen by the Faculty member) may be outside the Faculty member’s discipline, department, or college.

#### **3.5.4.3.**

A third person will be chosen from the following: Another professional colleague, Department/Division Chair, college Vice President, Faculty/Staff Development Specialist, and advisory committee member or alumnus.

#### **3.5.4.4.**

Students (or other services area recipients) will always provide input regarding teaching or service area performance via a customizable questionnaire or other appropriate measurement instrument. See the FEP guidelines for details.

### **3.5.5. Verification of Compliance**

At the conclusion of the process, the individual team members (referenced in 3.5.4.1.-3.5.4.3.) will review the documents submitted by the Faculty member to indicate his/her performance and goals in the areas outlined in the FEP and discuss them with the Faculty member. An “FEP Summary Endorsement Sheet” will be signed by the individual team members and also by the Department/Division Chair and appropriate Vice President, or Vice President’s designee, to verify the work and indicate compliance with the process. A copy of the FEP Summary Endorsement Sheet will be filed with the appropriate Vice President or designee.

### **3.5.6. FEP Guidelines Modification**

The FEP guidelines may be modified by a majority of votes cast by the Faculty, provided any modifications do not violate the policies and procedures outlined in Section 3.5.

### **3.6. Probationary Faculty Peer Assistance and Review**

Faculty are committed to quality teaching and to providing professional service to students, colleagues, and the District. Faculty agree to adhere to, support, and implement the following Probationary Faculty evaluation policies and procedures.

#### **3.6.1. Purpose**

Probationary Faculty document their instructional expertise, service to college and community, and professional development through the Individual Development Plan (IDP). The rigorous IDP process is intended to provide significant professional growth and development for the Probationary Faculty member in an environment of support and encouragement from Faculty Developers, Department/Division Chairs, Faculty Mentors, Instructional Administrators, and others. Probationary Faculty submit an IDP annually for a period of five (5) years.

#### **3.6.2. Areas for Evaluation**

The IDP includes the following areas for evaluation: instruction; service to department/division, college, and district; and professional development. Evidence for each annual IDP shall only include activities from the one-year period of the IDP.

##### **3.6.2.1. Instruction**

To document instructional excellence, Probationary Faculty submit the following required elements:

**3.6.2.1.1.** Instructional evaluation from VPAA or designee

**3.6.2.1.2.** Instructional evaluation from Department/Division Chair

**3.6.2.1.3.** Instructional observation and feedback from peer(s)

**3.6.2.1.4.** Results from Student Evaluation Forms

**3.6.2.1.5.** Narrative demonstrating a personal reflection on teaching effectiveness in light of the instructional evaluations, observations, and feedback

Probationary Faculty not engaged in instructional activities will submit comparable documents to those listed above with a focus on the observation/evaluation of the Probationary Faculty's primary work activity.

##### **3.6.2.2. Service to Department/Division, College, and District**

To document service to department/division, college, and district, Probationary Faculty submit evidence of the following, as applicable:

**3.6.2.2.1.** Listing of committee/task force membership and description of contributions.

**3.6.2.2.2.** Description of participation in special projects/initiatives such as special events or curriculum development/revision.

**3.6.2.2.3.** Description of community service activities such as working with local business and industry, recruitment, service learning, outreach events, advisory board participation, outreach to K-12 partners, outreach to university partners, and volunteer activities.

### **3.6.2.3. Professional Development**

To document professional development, Probationary Faculty submit evidence of the following, as applicable:

**3.6.2.3.1.** College-level courses completed

**3.6.2.3.2.** Workshops and conferences attended

**3.6.2.3.3.** Books or articles published

**3.6.2.3.4.** Presentations given

### **3.6.3. Peer Assistance and Review (PAR) Team Participants**

The PAR Team meets regularly to discuss the Probationary Faculty member's progress in developing the IDP.

#### **3.6.3.1. PAR Team for Year 1**

**3.6.3.1.1.** The Probationary Faculty member.

**3.6.3.1.2.** One, preferably two, trained Appointive Faculty peers or other professional colleague chosen by the Probationary Faculty member. Peers will be selected with the assistance of the Faculty Developer in consultation with the appropriate Vice President or designee and the Faculty Senate President. Peers may be selected from outside the faculty member's discipline, department, or college.

**3.6.3.1.3.** The College's Faculty Developer.

#### **3.6.3.2. PAR Team for Years 2 – 5**

**3.6.3.2.1.** The Probationary Faculty member.

**3.6.3.2.2.** One, preferably two, trained Appointive Faculty peers or other professional colleague chosen by the Probationary Faculty member. Peers will be selected with the assistance of the Faculty Developer in consultation with the appropriate Vice President or designee and the Faculty Senate President. Peers may be selected from outside the faculty member's discipline, department, or college.

### **3.6.4. Peer Assistance and Review Committee (PARC)**

The PARC consists of the appropriate instructional administrator and at least four (4) trained, Appointive Faculty appointed by the Faculty Senate President in collaboration with the appropriate college Vice President. The Peer Assistance Review Committee will evaluate all Probationary Faculty Individual Development Plans (IDPs) and make recommendations to the College President related to the renewal of the probationary appointment, and when appropriate, the granting of appointive status. For the first four IDPs of each Probationary Faculty member, the PARC will offer one of the following recommendations by consensus:

- recommend renewal
- recommend renewal with concerns
- recommend nonrenewal

Upon review of the fifth IDP of a Probationary Faculty member, the PARC will recommend by consensus one of the following:

- recommend for appointive status
- recommend nonrenewal



### 3.6.5. Timeline

#### 3.6.5.1. Year 1

The focus of the first year is for the Probationary Faculty to be actively involved in the New Faculty Experience at the college.

August:	Probationary Faculty participate in New Faculty Experience. PAR Teams identified.
September – December:	Instructional evaluations, observation(s), and follow-up meetings. Initial draft of IDP created in consultation with PAR team. PAR team meeting(s). Faculty Developer keeps appropriate VP informed of progress.
January – March:	Updated draft of IDP submitted to PAR team no later than the end of the week of accountability. Instructional evaluations, observation(s), and follow-up meetings. PAR team meeting(s). Final draft of IDP submitted to the PARC by the fourth Friday in March.
April:	PARC evaluates all IDPs submitted by Probationary Faculty. Recommendation submitted to College President and Probationary Faculty informed by the third Friday in April.
May:	College President announces renewal or intent to non-renew decision by May 1.

#### 3.6.5.2. ~~Years~~Year 2—5

August – December:	Instructional evaluations, observation(s), and follow-up meetings. Initial draft of IDP created in consultation with PAR team. PAR team meeting(s). Faculty Developer keeps appropriate VP informed of progress.
January – March:	Updated draft of IDP submitted to PAR team no later than the end of the week of accountability. Instructional evaluations, observation(s), and follow-up meetings. PAR team meeting(s). Final draft of IDP submitted to the PARC by the fourth Friday in March.
April:	PARC evaluates all IDPs submitted by Probationary Faculty. Recommendation submitted to College President and Probationary Faculty informed by the third Friday in April.
May:	College President announces renewal or intent to non-renew decision by May 1.

#### 3.6.5.3. Years 3 – 5

August – March:	Instructional evaluations, observation(s), and follow-up meetings a minimum of once per year. Initial draft of IDP created in consultation with PAR team. PAR team meeting(s). Faculty Developer keeps appropriate VP informed of progress. Updated draft of IDP submitted to PAR team no later than the end of the week of Spring accountability. Final draft of IDP submitted to the PARC by the fourth Friday in March.
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- April: PARC evaluates all IDPs submitted by Probationary Faculty. Recommendation submitted to College President and Probationary Faculty informed by the third Friday in April.
- May: For Years 3 & 4, the College President announces renewal or intent to non-renew by May 1.  
For Year 5, the College President forwards recommendation for appointive status or nonrenewal to the Chancellor by May 1.

#### **3.6.5.4. Faculty Hired Mid-Year**

- 3.6.5.34.1.** The first IDP for faculty hired mid-year will include evidence from Spring semester only.
- 3.6.5.34.2.** Participation in the year-long New Faculty Experience will begin in the first Fall semester after being hired.
- 3.6.5.4.3.** ~~3.~~ Upon review of the fifth IDP, which shall occur at the end of the probationary faculty's fifth Spring semester, the College President will make a recommendation for appointive status or nonrenewal. If approved by the Chancellor, appointive status will be awarded January 1 of the following calendar year. No instructional evaluations, observation(s), or follow-up meetings shall occur during the intervening Fall semester.

#### **3.6.6. Rebuttal of PARC Recommendation of Nonrenewal**

Probationary Faculty who receive a recommendation of nonrenewal from the PARC may send the College President a written rebuttal to the recommendation. Such rebuttal must be received within five (5) business days of the notification of the recommendation.

#### **3.6.7. College President Response to PARC Recommendation**

##### **3.6.7.1. Renewal**

If, after reviewing the PARC recommendation, a College President determines that the Probationary Faculty member should be renewed, the Probationary Faculty member's employment shall continue for the subsequent academic year.

##### **3.6.7.2. Renewal with Concerns**

If, after reviewing the PARC recommendation, a College President determines that the Probationary Faculty member should be renewed with concerns, the Probationary Faculty member's employment shall continue for the subsequent academic year. The Probationary Faculty member shall work with the member's Peer Assistance and Review Team to address the deficiencies that were identified as concerns. Failure to address the deficiencies may result in nonrenewal in a future year.

### 3.6.7.3. Recommend for Appointive Status

After reviewing the PARC recommendation resulting from the evaluation of the fifth IDP, if a College President determines that the Probationary Faculty member should be recommended for appointive status, a recommendation to grant appointive status will be sent to the Chancellor. If the College President determines not to recommend for appointive status, the President may either renew with concerns (and extend the probationary period by no more than one additional year) or recommend nonrenewal to the Chancellor.

### 3.6.7.4. Nonrenewal

**3.6.7.4.1.** While Residential Faculty are originally employed with the intention that they are continuing employees, the Chancellor (upon the advice of a College President) may ~~recommend to the Governing Board~~ determine that a Probationary Faculty member's contract not be renewed.

**3.6.7.4.2.** If, after reviewing the PARC recommendation, the College President determines the Probationary Faculty member should not be renewed, the College President shall recommend to the Chancellor in writing that the Probationary Faculty member not be renewed. The recommendation shall include the reasons for nonrenewal.

**3.6.7.4.3.** If the Chancellor agrees that the Probationary Faculty member should not be renewed, the Chancellor or designee will deliver the notice of intent to recommend nonrenewal by delivering it personally to the Faculty member or by sending it by U.S. registered or certified mail directed to the member at his/her place of residence as recorded in the MCCC records no later than May 15. The notification shall include the reasons for nonrenewal.

**3.6.7.4.4.** ~~All required Probationary Faculty notifications shall be completed prior to any nonrenewal recommendation to the Governing Board but no later than May 15.~~

~~**3.6.7.4.5.** Probationary Faculty who are nonrenewed are no longer employed as Residential Faculty.~~

### 3.6.8. Storage of PAR Process Documents

The Probationary Faculty member's VPAA evaluations, Division/Department Chair evaluations, ~~and~~ student evaluations, and Individual Development Plan (IDP) will be maintained at the local college. The ~~PARC~~ PARC's and College President's ~~recommendation(s)~~ recommendations will be maintained in the Faculty member's official personnel file. (See RFP 3.9.)

## 3.7. Experimental Program Personnel

Members involved in experimental programs retain full rights under the *Residential Faculty Policies*.

## 3.8. Legal Rights

Nothing in this policy shall be construed to deny or diminish any individual rights that a member has under the law.

### 3.8.1. Indemnification

**3.8.1.1.**

MCCCD, to the extent legally permissible, indemnifies and defends its employees against liability for acts or omissions arising out of and in the course of their employment for MCCCD or performing duties related to the conduct of MCCCD business. The General Counsel is authorized to represent MCCCD and/or the employee and direct the defense of any claim, action, suit or proceeding on behalf of MCCCD and the employee for which MCCCD is indemnifying the employee pursuant to this policy. MCCCD retains the right to direct, settle, compromise, appeal, and otherwise defend any such claim, action, suit or proceeding, including representation and the use of counsel as MCCCD deems desirable. In any such event, the employee is expected to cooperate fully.

**3.8.1.2.**

Employees are not represented by counsel employed or retained by MCCCD in grievance procedures, internal discrimination matters, employment dismissal, or other similar proceedings, as these are internal processes which seek to inform MCCCD, its administrators, and Governing Board members regarding the appropriateness of specific conflicts.

**3.8.1.3.**

MCCCD does not provide representation or indemnify employees with regard to criminal offenses, licensure, certification, or similar professional administrative or disciplinary actions, parking or moving vehicle violations, or fees, fines or penalties associated with such violations. MCCCD does not provide representation or indemnification for acts involving intentional misconduct, willful or knowing violation of the law, and transactions from which the individual derives an improper personal benefit. Each employee is expected to comply with federal and state laws, and local ordinances.

**3.9. Personnel Files**

The MCCCD shall maintain the official personnel files, which shall contain all materials relevant to the member's employment and shall be the sole repository of such materials with the exception of a college file. Only those materials permitted by law will be included in the official file. Each file will have attached a record for notation of names, dates, and purposes of persons reviewing the files. Only authorized personnel will be permitted to view employee files. A member shall have the right to:

**3.9.1.**

Review the contents of his/her file(s) upon request, except letters of recommendation and College/University placement files which, when submitted, request confidentiality.

**3.9.2.**

Receive a copy of any documents contained therein, except as noted in Section 3.9.1.

**3.9.3.**

Be notified, in writing, when material (other than of a routine office nature) is being added to the file(s).

**3.9.4.**

Request the inclusion of relevant documents to be added to the file(s). (Determination of relevancy is the responsibility of the District Director of ~~Employment and Employee Relations~~-HR Administration)

**3.9.5.**

Request the removal of all inappropriate and/or obsolete documents from the files. (Determination will be made by the District Director of ~~Employment and Employee Relations~~-HR Administration)

**3.9.6.**

File a written response to be included whenever negative or derogatory information is placed in the file(s).

**3.10. Suspension of a Faculty Member**

**3.10.1.**

Upon a written statement of charges formulated by the Chancellor, charging a Faculty member of the MCCCCD, the Chancellor, or his/her designee, may immediately suspend the Faculty member and give notice of suspension. At the option of the Faculty member, the District Faculty Association President will be notified of this action.

**3.10.2.**

The notice of suspension shall be in writing and be served upon the Faculty member, personally or by U.S. (registered or certified) mail, addressed to the Faculty member at his/her place of residence as recorded in the MCCCCD records.

**3.10.3.**

Any Faculty member who has been suspended pursuant to this Section will normally be paid his/her regular salary during the period of suspension. A suspension without pay will occur only upon advice of General Counsel. If payment is to be withheld, the Vice Chancellor for Human Resources will first consult with and advise the member and, at the option of the Faculty member, the Faculty Association President regarding the rationale for that action.

**3.11. Administrative Leaves of Absence**

**3.11.1. Criminal Complaint**

**3.11.1.1.**

If a Faculty member is charged by criminal complaint, information, or indictment with any criminal offense, which would be cause for dismissal, the Chancellor or designee may immediately place the member on compulsory leave of absence for a period of time extending for not more than ten (10) days after the date of entry of judgment in the proceedings.

**3.11.1.2.**

Pay during this period will be based on the same consideration as in Section 3.13.3.

**3.11.2. Complaints—Other Than Criminal**

**3.11.2.1.**

The Vice Chancellor for Human Resources may, if it is appropriate, place a Faculty member on paid administrative leave of absence. At the option of the Faculty member, the District Faculty Association President will be advised.

**3.11.2.2.**

Pay during this period will be based on the same consideration as in **Section 3.10.3.**

**3.12. Faculty Member Dismissal—Probationary and Appointive**

A Faculty member who is recommended, by the College President, through the Chancellor, to the Governing Board, for dismissal shall have access to the following due-process procedures.

**3.12.1.**

A written statement of charges, formulated by the College President, shall be forwarded to the Vice Chancellor for Human Resources. After review of the charges, the Vice Chancellor, in consultation with the MCCCDC Legal Office, may recommend to the Chancellor that there exists prima facie cause for the dismissal of a Faculty member. The Chancellor shall inform the Governing Board in writing, with a copy of the recommendation being sent (U.S. certified or registered mail) to the Faculty member at his/her place of residence as recorded in the MCCCDC records. The Vice Chancellor's recommendation will give notice to the Chancellor, Governing Board, and the Faculty member of the intention to formally recommend dismissal, which shall not be sooner than thirty (30) days from the date of the letter, nor later than the end of the current academic year.

**3.12.2.**

A written statement of charges shall be provided to the Chancellor, Governing Board, and the Faculty member as an attachment to the notification outlined in the preceding paragraph. The statement of charges shall state, if applicable, the statutes, policies, rules, or written objectives of the College that the Faculty member is alleged to have violated. The statement of charges shall be of such specificity that the Faculty member will be able to prepare a defense based on the statement.

**3.12.3.**

The Faculty member shall have the right to a hearing by filing a written request with the Vice-Chancellor within five (5) business days after being served with a notice of intent to dismiss. The filing of a timely request shall suspend the dismissal procedure, pending the completion of the hearing.

**3.12.4.**

Upon request, a Hearing Committee shall be constituted within five (5) business days and shall be composed of three (3) Appointive Residential Faculty members in active paid status: one (1) appointed by the Chancellor, one (1) selected by the District Faculty Association President, and one (1) selected by the member. The committee member selected by the Chancellor and the District Faculty Association President will be from colleges other than the college where the Faculty member recommended for dismissal is assigned. Prior to submitting the name of the committee member selected by the Faculty member to the District Faculty Association President, the Faculty member will ensure that the recommended committee member is available and eligible to serve. The committee shall be considered constituted when the Hearing Committee and the Faculty member have been informed by the District Faculty Association President of the committee's formation.

**3.12.5.**

The Hearing Committee shall select a Chair. Unless the parties stipulate to extend the time beyond that which is set forth below, the Chair shall conduct a meeting with the attorney representing the MCCC and the Faculty member and/or his/her attorney/representative no later than twenty (20) business days after the formation of the committee for the purpose of exchanging exhibits, witness lists, and summaries of witness testimony. The Chair may choose to deny admission of an exhibit(s) or witness testimony for failure to comply with this Section.

**3.12.6.**

Unless the parties otherwise agree, the Hearing Committee shall conduct the hearing no later than ten (10) business days after the exchange of information detailed in Section 3.13.5. Prior to the hearing, the Faculty member must declare, in writing, whether he/she wishes the hearing to be public or in executive session. The member may attend the hearing; present any testimony, evidence, or statements, oral or written, in his/her behalf; and be represented by legal counsel or other representative. It is expressly understood the act of testifying will not be subject to reprisal by the MCCC.

**3.12.7.**

Within five (5) business days after completion of the hearing, the Hearing Committee shall provide the Chancellor, and the Faculty member with a summary of the evidence that was presented during the hearing. In addition, the Hearing Committee shall render binding written findings of fact and conclusions of law and forward these along with its recommendation regarding dismissal to the Chancellor. The above deadline may be extended up to fifteen (15) business days after completion of the hearing, if the Hearing Committee requests briefs and/or recommended findings of fact and conclusions of law from the parties.

**3.12.8.**

After receiving the Hearing Committee's summary of evidence, findings of fact, conclusions of law, and final recommendation in regard to dismissal, the Chancellor may meet with the Hearing Committee to clarify any questions he/she may have. The Chancellor shall have ten (10) business days in which to review the recommendation regarding dismissal. The Chancellor may adopt the Hearing Committee's recommendation regarding dismissal or make his/her own recommendation and forward the recommendation along with the summary of the evidence, a copy of the findings of fact, conclusions of law, and final recommendations of the Hearing Committee to the Governing Board.

**3.12.9.**

The Governing Board will meet with the Faculty member and/or his/her representative and a representative of the administration to hear arguments regarding the Chancellor's and the Hearing Committee's recommendation regarding dismissal. This meeting will be an executive session unless the Faculty member chooses to have this meeting in public. The parties shall have no less than one-half hour to present their respective cases. The length of the meeting shall not exceed one (1) hour.

**3.12.10.**

The Governing Board, at a public meeting, shall render a final decision for retention or dismissal of the Faculty member. A copy of the final decision shall be sent (U.S.



certified or registered mail) to the Faculty member at his/her place of residence as recorded in MCCCCD records. It is expressly understood that the Governing Board decision does not diminish the Faculty member's right to seek other legal remedies under local, state and federal law.

### **3.13. Membership in and Representation by Professional Organizations**

Faculty members will have complete freedom in selecting the professional organizations they may join, or refrain from joining.

### **3.14. Faculty Transfer/Reassignment Policy**

#### **3.14.1.**

Since the employment notification from the Maricopa County Community College MCCCCD states that a Faculty member is employed by the MCCCCD, a Faculty member may request a transfer or be transferred from a discipline or service department at one college to a similar discipline or service department, if qualified in that discipline or service department, at another college.

#### **3.14.2.**

In the case of an employee-requested transfer, the following steps will be observed.

##### **3.14.2.1. Basic to Transfer Determination**

###### **3.14.2.1.1.**

The preference of the Faculty member for a particular college;

###### **3.14.2.1.2.**

Protecting the employment of Appointive Faculty members in the event of overstaffing in the present assignment;

###### **3.14.2.1.3.**

Meeting the staffing needs educationally and/or numerically of another college/facility; and/or

###### **3.14.2.1.4.**

Improving working relationships.

##### **3.14.2.2. Requisites for Transfer**

###### **3.14.2.2.1.**

All parties concerned will provide input into the transfer decision including, but not limited to, the following: College Presidents, Vice Presidents, Department/Division Chairs of the appropriate colleges, in addition to the Faculty member requesting transfer.

###### **3.14.2.2.2.**

The determination of transfer will be based upon, but not limited to, the following considerations: (a) willingness of transferee; (b) reciprocal need for transfer; (c) academic qualifications of transferee; (d) compatibility within receiving Department/Division structure; and (e) mutual Presidential agreement.

### **3.14.2.2.3.**

Members will follow procedures as outlined by the District Division of Human Resources.

### **3.14.2.3.**

The parties recognize that there are occasions necessitating the reassignment of Faculty when the steps outlined in the voluntary transfer policy are not appropriate or do not meet the needs of the situation.

#### **3.14.2.3.1.**

In such instances, decisions to reassign Faculty will be authorized by the College President(s) who are involved, with the concurrence of the Vice Chancellor for Human Resources, or designee. The Faculty member(s) will be advised as far in advance as possible of any decision to implement an involuntary transfer.

#### **3.14.2.3.2.**

Affected Faculty members shall have the right to appeal (in writing) such reassignment. Such appeal must be delivered to the Vice Chancellor for Human Resources, or designee, within fifteen (15) days of the original notice of reassignment. The Vice Chancellor for Human Resources, or designee, will review the circumstances of the reassignment, determine whether to sustain the appeal or not, and notify the Faculty member and provide a decision in writing within thirty (30) days of receipt of the appeal. The Faculty member will have the right to appeal the decision of the Vice Chancellor for Human Resources, or designee, to the Chancellor for a final decision. Such appeal must be delivered to the Chancellor within fifteen (15) days of written notification of the Vice Chancellor's decision. The Chancellor will advise the affected Faculty member, and the affected College President(s) and the Governing Board of his/her decision regarding the transfer appeal within thirty (30) days of the receipt of the appeal. In the event the reassignment location has not been finalized, an update will be provided in writing every thirty (30) days.

## **3.15. Retain and Retrain Policy**

### **3.15.1. Purpose**

The purpose of this policy is to provide a process by which Residential Faculty may be retained as district employees as an alternative to layoff in the event of a planned reduction in force at a college. This policy is not to be used to address faculty performance concerns. Faculty performance concerns shall be addressed informally or through the peer assistance and review process or the administrative evaluation process.

### **3.15.2. Faculty Service Areas**

**3.15.2.1.** Instructional councils are accountable for recommending Faculty Service Areas (FSA) (i.e. instructional disciplines and/or service areas). All areas of specialty overseen by an instructional council may be grouped into a single

Faculty Service Area or into multiple Faculty Service Areas as determined by the instructional council.

**3.15.2.2.** Instructional councils determine the minimum qualifications necessary for faculty to teach or work in each FSA.

**3.15.2.3.** Instructional Councils assign all courses in the course bank to one or more FSAs

**3.15.2.4.** The Executive Vice Chancellor of Academic Affairs and Provost is the approval authority for all FSA designations recommended by instructional councils. By March 1 of each academic year, each instructional council shall provide the Executive Vice Chancellor of Academic Affairs and Provost with written FSA recommendations. The Executive Vice Chancellor of Academic Affairs and Provost will review and approve final FSA designations for the following academic year by March 15.

**3.15.2.5.** Residential Faculty are deemed qualified in any FSA in which they meet the minimum qualifications.

**3.15.2.6.** The primary FSA of instructional faculty is the FSA in which the majority of the faculty member's instructional load is taught over the most recently completed academic year. For service faculty, the primary FSA is the service area in which the faculty member has worked the majority of hours over the most recently completed academic year. The Human Resources Department at each college shall provide the appropriate Vice President (or designee) and all Residential Faculty at the college with a report of instructional **and service** load for each Residential Faculty by FSA by March 30. In the event that the workload of a faculty member is evenly split between two or more FSAs, the faculty member may designate which FSA is the faculty member's primary FSA.

**3.15.2.7.** Secondary FSAs are all non-primary FSAs in which a faculty member meets the minimum qualifications.

**3.15.2.8.** The appropriate Vice President (or designee) at each college shall review and approve final FSA assignments by April 15. Residential Faculty may appeal the determination to the Executive Vice Chancellor and Provost by May 1.

**3.15.2.9.** Under-Ratio FSAs at a college are FSAs in which the addition of one Residential Faculty will not cause the percentage of instructional load in the FSA taught by Residential Faculty to exceed the applicable faculty ratios identified in Section 1.2.

**3.15.2.10.** By October 1, the Vice Chancellor for Human Resources will provide the list of Under-Ratio FSAs at each college to all Residential Faculty.

### **3.15.3. Reduction in Force Decision Making Process**

**3.15.3.1.** Before a decision is made to pursue a reduction in force within an FSA at a college, the College President will convene a meeting with the appropriate Vice President, Faculty Senate Leadership, Department/Division Chair with responsibility for the FSA, and all Residential Faculty within the FSA. The meeting will be facilitated by a neutral party trained in interest-based decision making and not employed at the college.

**3.15.3.2.** In the meeting, the analysis that has led the College President to conclude that a reduction in force in the FSA at that college may be necessary will be shared. The analysis will include but is not limited to the following:

- Relationship of the FSA to the mission, vision, values, and strategic plan of the college and district
- External requirement for the services provided by the FSA such as accreditation or intergovernmental agreements
- Annual instructional load (as applicable)
- Percentage of annual instructional load taught by Residential Faculty (as applicable)
- Fall 45th-day FTSE inclusive of dual enrollment
- Number of Residential Faculty teaching/working in the FSA
- Number of Residential Faculty whose primary FSA is the FSA being analyzed
- Revenue trends over five years for the FSA including but not limited to tuition and fees
- Expenditure trends over five years for the FSA including but not limited to personnel and capital
- Account balances for any fees accounts within the FSA
- Cost/benefit analysis of reducing all non-Residential Faculty plus one Residential Faculty within the FSA
- An explanation of the problem that reducing the number of faculty in the FSA would solve
- The list of potential Residential Faculty that are at risk of layoff as determined by the Vice Chancellor of Human Resources
- Other relevant information, as requested

**3.15.3.3.** The facilitator will assist the group in the following:

- Understanding the history of the FSA
- Identifying the problem that needs to be solved
- Determining the parties' interests
- Generating options for solutions
- Applying agreed-upon criteria to evaluate possible solutions
- Reaching consensus on a preferred solution

**3.15.3.4.** If the preferred solution includes a recommendation that no non-Residential Faculty be hired and one or more Residential Faculty members within the FSA be reduced, the parties will jointly prepare and submit a written reduction in force plan to the Chancellor no later than October 1. If approved by the Chancellor ~~and the Governing Board~~, the plan will go into effect on July 1 of the subsequent fiscal year.

**3.15.3.5.** If the parties are unable to reach consensus on a preferred solution and the College President believes not hiring any non-Residential Faculty and a reduction of one or more Residential Faculty in the FSA is necessary, the College President will prepare and submit a written reduction in force plan to the Chancellor no later than October 1. At least seven (7) days in advance of the submission of the plan to the Chancellor, the written reduction in force plan will be shared with the Residential Faculty in the FSA and the Faculty Senate President. The faculty in the FSA may submit a written statement to the Chancellor related to the proposed reduction plan. If approved by the Chancellor ~~and the Governing Board~~, the plan will go into effect on July 1 of the subsequent fiscal year.

### **3.15.4. Alternatives to a Reduction in Force**

Upon receipt of a reduction in force plan recommending the layoff of one or more Residential Faculty members, the Chancellor shall request that the Vice Chancellor for Human Resources consult with the college(s) to consider alternatives to layoff such as:

**3.15.4.1.** Formal solicitation of voluntary retirements of Residential Faculty members in the FSA, in inverse order of potential layoff

**3.15.4.2.** Moving one or more Residential Faculty in the affected FSA to a secondary FSA at the college in which the faculty member is qualified. The secondary FSA would become the primary FSA for the affected faculty member in the subsequent year

**3.15.4.3.** Voluntary transfers of one or more Residential Faculty members in the affected FSA to vacant faculty positions at other colleges pursuant to RFP Section ~~3.15.4.2~~

**3.15.4.4.** Multiple college assignments to include day and evening assignments

### **3.15.5. Determining the Residential Faculty Affected by a Reduction in Force Plan**

**3.15.5.1.** If the alternatives to a reduction in force do not sufficiently address the problem to be solved, the Chancellor shall determine the number of occupied Residential Faculty positions within an FSA to be reduced and the Residential Faculty members to be laid off pursuant to the following four-step process:

**3.15.5.1.1.** The Chancellor will review the final recommendation and determine the FSA(s) and number of Residential Faculty positions in each FSA to be reduced.

**3.15.5.1.2.** The final reduction in force plan shall be submitted to the ~~Governing Board~~Chancellor for approval.

**3.15.5.1.3.** The Chancellor will provide the plan to the Vice Chancellor for Human Resources, who will review the plan and determine the specific Residential Faculty member(s) to be laid off based on the criteria provided herein.

**3.15.5.1.4.** Upon approval of the reduction in force plan by the ~~Governing Board~~Chancellor, layoffs of identified Residential Faculty members shall be implemented by District Human Resources.

**3.15.5.2.** No non-Residential Faculty (e.g. Adjuncts, OSOs, OYOs) shall be employed by the college in the FSA(s) in which Residential Faculty are to be laid off. The layoff order for Residential Faculty will be in inverse order of seniority, where seniority is determined by the first date of Residential Faculty employment (i.e. tenure track date). In the event that two faculty in the FSA have the same tenure track date, the following criteria will be used in the numbered order below until a tie no longer exists to determine which faculty member is the most senior.

1. The Residential Faculty member with the greatest number of semesters teaching/working in the FSA at the college will be most senior.
2. The Residential Faculty member who is furthest to the right on the salary schedule will be the most senior.

3. If a tie in seniority still exists after applying the aforementioned criteria, the Vice Chancellor for Human Resources shall randomly select which faculty member will be said to have the greatest seniority.

**3.15.5.3.** The Residential Faculty members in the FSA at the college to be laid off shall be notified by the Vice Chancellor for Human Resources no later than December 1.

### **3.15.6. Retaining and Retraining Faculty Identified for Layoff**

#### **3.15.6.1. Plan to Retain**

No later than the last day of Fall Semester, a committee composed of the Residential Faculty member who has been identified for layoff, the appropriate Department/Division Chair, the Faculty Senate President, and the appropriate Vice President (or designee) shall meet with the purpose of developing a plan to retain the faculty member scheduled for layoff as an employee in the District. Options for the plan may include but are not limited to transferring to another college as Residential Faculty in any Under-Ratio FSA in which the Residential Faculty member is qualified or retraining to become qualified in an Under-Ratio FSA before transferring to another faculty position.

#### **3.15.6.2. Transfer to Another College without Retraining**

Probationary Faculty whose most recent Peer Assistance and Review recommendation was Renew and all Appointive Faculty may

**3.15.6.2.1.** Request a voluntary transfer to faculty positions at any other MCCCCD college in which the faculty member is qualified in inverse order of proposed layoff pursuant RFP Section 3.15.14.2.

**3.15.6.2.2.** Request a transfer into any Under-Ratio FSA at any other MCCCCD college in which the faculty member is qualified. Within 15 accountability days of such request, the faculty member will be interviewed by the college. If the faculty member meets the minimum qualifications of a Residential Faculty position in the FSA, they will be hired into the position, assuming funding as described in Section 3.15.6.3. is available, unless the President of the receiving college can demonstrate that the Residential Faculty is not willing or able to perform the essential functions of the position as constituted in the FSA at that college.

**3.15.6.2.3.** If the faculty member is transferred, the gaining college shall contribute the equivalent of 30 load hours at the adjunct rate to the cost of the new faculty line. The balance of the transferred Residential Faculty member's salary shall be transferred from the District to the gaining college as a part of the budgeted residential/adjunct 60:40 ratio implementation plan.

**3.15.6.2.4.** The ability to transfer under this process is contingent upon budgeted funds for the residential/adjunct 60:40 ratio implementation plan.

### **3.15.6.3. Retraining**

**3.15.6.3.1.** Appointive Faculty identified for layoff, who are not transferred per Section 3.15.6.2. above are eligible to be retrained as described hereafter,

**3.15.6.3.2.** The committee identified in 3.15.6.1. will seek to determine what additional coursework or other training will be required for the faculty member to become qualified in an Under-Ratio FSA selected by the Residential Faculty member.

**3.15.6.3.3.** If the committee determines that it is feasible for the Residential Faculty Member to become qualified in the selected Under-Ratio FSA within 12 months of the scheduled date of the layoff and the Residential Faculty member is willing to pursue retraining, the committee will submit a training plan to the Vice Chancellor of Human Resources for review. The Vice Chancellor, upon verifying the plan will result in the Residential Faculty member becoming qualified in an Under-Ratio FSA, will approve the plan and notify the committee, the Vice Chancellor of Academic Affairs and Provost, and the College President of the college where the Residential Faculty member will transfer upon becoming qualified in the Under-Ratio FSA.

**3.15.6.3.4.** If the Residential Faculty member has not become qualified in the selected Under-Ratio FSA by the scheduled layoff date at the college, the Residential Faculty member will be placed on a retraining sabbatical for up to 12 months, after the Residential Faculty member expresses intent to complete the retraining plan.

**3.15.6.3.5.** A Residential Faculty member's salary and benefits during any retraining sabbatical shall be funded entirely by Faculty Professional Growth funding.

**3.15.6.3.6.** The benefits and obligations for retraining sabbaticals shall be the same as those described in A.1.1., A.4.6., A.4.7.5., A.4.7.9., A.4.7.10, A.4.8., and A.4.9.

**3.15.6.3.7.** Upon becoming qualified in the selected Under-Ratio FSA, the Residential Faculty member will transfer into the selected Under-Ratio FSA at the previously identified college pursuant 3.15.6.2.2.

**3.15.6.3.8.** If the retrained Residential Faculty member fails to become qualified in the Under-Ratio FSA, the member shall then be subject to layoff and will be required to repay the salary paid to the member during the retraining sabbatical. If the retrained member obtains retraining and is placed in the Under-Ratio FSA but works less than two (2) academic years in the Under-Ratio FSA after retraining, the member will be required to repay the salary paid during the retraining sabbatical.

### **3.15.7. Appeal Process.**

#### **3.15.7.1. Verification of Policy Application**

A Residential Faculty member who has been notified that the member is scheduled to be laid off may appeal, if the employee believes this retain and retain policy was violated. The affected employee must submit a written request specifying the improper application of the policy to the District HR Solutions Center within five (5) working days of the receipt of notice of layoff. Upon

receipt of the request, the District HR Solutions Center shall convene an Appeal Committee made up of the Faculty Association President the Director of the HR Solutions Center and MCCCDC General Counsel (or designees). The Appeal Committee shall meet within ten (10) working days of the request to verify that the reduction in force decision making process was followed (3.15.3.), that alternatives to a reduction in force were considered (3.15.4.), that the least senior faculty member was selected for layoff (3.15.5), and that the Residential Faculty member was provided the option of transfer or retrain and transfer (3.15.6). The Appeal Committee shall determine if the policy was followed. If the Appeal Committee determines the policy was followed, the layoff decision will stand. If the Appeal Committee determines the policy was not followed, the committee will report any variances to the Vice Chancellor of Human Resources.

#### **3.15.7.2.**

If the Vice Chancellor of Human Resources concurs that the policy was not followed, appropriate remedial action will be taken.

#### **3.15.7.3. Illegal Discrimination.**

In situations involving allegations of discrimination based on race, color, religion, sex, sexual orientation, gender identity, national origin, citizenship status, age, disability, veteran status, or genetic information, the review of the layoff shall be conducted by the MCCCDC EEO/AA Manager, who shall submit a recommendation to the Vice Chancellor of Human Resources, who shall make a final decision.

#### **3.15.7.4. Notification of the Outcome of an Appeal.**

The Vice Chancellor for Human Resources (or designee) shall issue a written decision regarding the appeal to the affected employee, Faculty Association President, and College President within five days of receiving either the recommendation of the Appeal Committee or the EEO/AA Manager. The decision is final and cannot be grieved under the grievance procedure in this manual.

### **3.15.8. Effect of Layoff.**

#### **3.15.8.1. Employment.**

Residential Faculty scheduled for layoff who do not transfer to another college or accept a retraining sabbatical will be laid off pursuant to this policy and are deemed separated from service with MCCCDC effective June 30 of the current fiscal year. Eligible employees shall be paid for accrued but unused sick leave pursuant to RFP Appendix B (4).

#### **3.15.8.2. Insurance Benefits.**

Laid-off employees may continue group health insurance under COBRA beginning July 1 of the subsequent fiscal year, if they are not reemployed by MCCCDC.

#### **3.15.8.3. Tuition Waiver.**

Residential Faculty and their spouses and dependents may continue to receive tuition waivers for any MCCCDC course that begins before July 1 of the subsequent fiscal year.

#### **3.15.8.4. Retirement Status/Withdrawal of Employee Contributions.**

Laid-off Residential Faculty stop earning retirement service credits effective July 1 of the subsequent fiscal year. They may elect to withdraw the employee portion of retirement contributions, which may include the employee portion and employer portion based on credited service, as of July 1 of the subsequent fiscal



year without affecting reemployment rights. Should the employee withdraw employee contributions and resume employment with MCCCCD within 24 months of the layoff effective date, the previously forfeited credited service may be repurchased by paying an amount equal to the amount of withdrawn contributions plus interest to ASRS within 60 days of rehire date. Affected employees are urged to consult with District HR staff and ASRS about the consequences of withdrawing employee contributions.

#### **3.15.8.5. Rights During Layoff Notice Period.**

Between the December 1 layoff notification date and the June 30 layoff implementation date, the Residential Faculty member shall retain all rights of a Residential Faculty member including but not limited to salary and benefits.

#### **3.15.9. Recall Rights.**

Laid-off Residential Faculty members shall be placed on a recall list for three (3) years following the June 30 effective date of layoff. If the FSA at the college becomes an Under-Ratio FSA and if the college decides to hire any other Residential Faculty or OYO/OSO faculty in the FSA, the laid-off Residential Faculty member will be recalled in inverse order of layoff (last person laid off will be the first person recalled). If a laid-off Residential Faculty member accepts any other full-time permanent position within MCCCCD, the member will be removed from the recall list. While on a recall list, a laid-off Residential Faculty member shall be considered an internal candidate when applying for all vacant MCCCCD employment positions.

## 4. COMPENSATION AND HIRING PRACTICES

### 4.1. Salary Schedule

For the fiscal year ~~2014-2015 -2016~~, the salary schedule will be not be adjusted ~~upward one percent (1.0%) effective July 1, 2014~~.

### 4.2. Salary Placement

Placement of Faculty on the salary schedule will be determined in accordance with Section 4.3.

#### 4.2.1.

All Faculty must meet the Maricopa Community Colleges' Faculty hiring qualifications.

#### 4.2.2.

MCCCD, whether for purposes of initial employment or for vertical or horizontal advancement on the salary schedule, recognizes only those academic credits and/or degrees earned at accredited institutions eligible for inclusion in the Education Directory, Colleges and Universities, U.S. Department of Education. Accrediting references will be kept current and on file in Employee Services.

### 4.3. Credit for Prior Experience

#### 4.3.1.

On the schedule for academic placement, a Master's degree with 24 upper division and/or graduate semester hours in the teaching field, or a Master's in the teaching field is required for Step 1. Additional hiring qualifications that have been recommended by Instructional Councils will be used to place the individual at Step 1.

#### 4.3.2.

On the schedule for occupational placement, the minimum requirement for placement on Step 1 is a Bachelor's degree plus three (3) years of occupational experience in the field to be taught, or an Associate's degree or 64 credits plus five (5) years occupational experience in the field to be taught, or five (5) years occupational experience in the field to be taught, or a Master's degree with 24 upper division credits and/or graduate credits in the field to be taught. Those individuals who use solely the five (5) years occupational experience as a minimum requirement for placement will be placed horizontally at Step 1 and will receive no additional horizontal movement on the salary schedule.

- 4.3.3.** For placement, the number of years of full time teaching experience and/or occupational experience will be counted. An accumulation of adjunct teaching experience will be counted; thirty (30) credit hours is equivalent to one year of fulltime teaching experience. An accumulation of two thousand (2000) hours of documented employment, full or part time, equals one (1) year of occupational experience. Any fractional parts will not be counted. It is the responsibility of the Candidate/employee to provide verification to the District Division of Human Resources. Salary placement will be as shown in the following table for ~~2014~~–2015 – ~~2016~~.

Years of Experience	2015 – 2016 Initial Salary Placement Step
0 - 1	1
2	2
3	3
4	4
5	5
6 - 7	6
8 - 9	7
10 - 11	8
12 - 13	9
14 or more	10

If a step is awarded to eligible Residential Faculty in ~~2015~~–2016 – ~~2017~~, the ~~2014~~-2015-~~2016~~ initial salary placement chart will apply for ~~2015~~–2016 – ~~2017~~. If a step is not awarded to eligible Residential Faculty in ~~2015~~–2016 – ~~2017~~, the following figure will be used for initial salary placement for faculty hired for ~~2015~~–2016 – ~~2017~~.

Years of Experience	2016 – 2017 Initial Salary Placement Step If No Step Granted for 2016 - 2017
0 - 2	1
3	2
4	3
5	4
6	5
7 - 8	6
9 - 10	7
11 - 12	8
13 - 14	9
15 or more	10

These charts will be updated annually.

#### 4.3.3.1.

If the job posting requires a dual discipline, one discipline will be used to place the individual at minimum salary; the other discipline will be used for additional horizontal and vertical salary placement.

#### 4.3.3.2

The maximum credit allowable for prior experience may not exceed a total of nine (9) steps beyond Step 1 on the salary schedule.

#### 4.3.3.3.

K-12 teaching experience will follow Section 4.3.3.

#### 4.3.3.4.

The highest horizontal academic initial placement is the doctorate level.

#### ~~4.3.3.5.~~

~~The changes in this provision become effective for any new Faculty member with a starting date on or after July 1, 2014.~~

#### 4.3.4.

The Vice Chancellor for Human Resources, or designee, in consultation with the Executive Vice Chancellor and Provost, may grant additional steps (not to exceed Step 10). Consideration for extra steps will be given in those cases where the applicant(s) selected by the College President is (are) unwilling to accept the position strictly on the basis of the salary that was offered when computed in accordance with the preceding paragraph. When consideration is given for the granting of additional steps, the Executive Vice Chancellor and Provost, or designee, shall convene an ad hoc committee consisting of the following persons or their designees: District Faculty Association President, Faculty Senate President at the affected college, and the College President at the affected college. The Executive Vice Chancellor and Provost will chair the committee and will be entitled to a vote. Additional steps will be granted only if there is unanimity among the committee members.

### 4.4. Salary Placement Review Board

#### 4.4.1.

A Faculty member may within the first twelve (12) months of employment as a Faculty member, appeal his/her vertical and/or horizontal placement to the Faculty Association President. If the Faculty member's appeal occurs within the first twelve (12) months of his/her employment, the Faculty Association President will convene a review committee to review his/her initial salary placement as prescribed by the District Human Resources Division Guidelines. The review committee will consist of four (4) members from the Faculty with the Faculty Association President, or designee, serving as chair. The Chair will appoint two (2) members: one (1) member will be appointed from the Faculty Professional Growth Committee and one (1) member from the appropriate Instructional Council. The fourth member will be the respective Faculty Senate President or designee.

#### 4.4.2.

The purpose of the committee will be to (1) review the case with the concerned Faculty member; (2) meet with the Vice Chancellor for Human Resources, or designee, to discuss the case; and (3) make written recommendations to the said Vice Chancellor, or designee. The Vice Chancellor, or designee, upon receiving the written recommendation, will communicate, in writing, his/her decision within fifteen (15) business days.

#### **4.5. Horizontal Movement on the Salary Schedule**

##### **4.5.1.**

All Faculty members move horizontally on the salary schedule for each credit hour beyond the minimum entering requirement up to a maximum of eighty-five (85) semester hours unless the member has earned a doctoral degree.

##### **4.5.2.**

To receive credit, a nondegreed member must (a) file a course of study leading to an Associate's degree, or (b) file a listing of technical and general education courses accepted by the Department/Division Chair, Vice President of Academic Affairs, the College President, and the Faculty Professional Growth Committee.

##### **4.5.3.**

If the administration requires additional study by the nondegreed Faculty member, the salary will be adjusted accordingly.

##### **4.5.4.**

Faculty members will be granted additional increments to their base salary for acceptable credit, including the doctoral degree, as indicated on the salary schedule. (See Appendix E.)

#### **4.6. Vertical Movement on the Salary Schedule**

##### **4.6.1.**

Subject to annual recommendation by the Chancellor and approval by the Governing Board, the Faculty may be authorized for advancement vertically through the steps of the salary schedule at the rate of one (1) step per year of service.

##### **4.6.2. Increment and/or Salary Increase Withholding for Individual Faculty Members.**

Upon recommendation of the College President, the Chancellor may withhold the recommendation for vertical advancement or salary increases for any of the following reasons:

###### **4.6.2.1.**

Lack of teaching effectiveness.

###### **4.6.2.2.**

Giving insufficient time and effort to duties assigned or failure to perform a reasonable amount of extracurricular activities.

###### **4.6.2.3.**

Lack of adherence to the adopted policies of the MCCCDC. Notification must be made prior to April 15<sup>th</sup>.

#### **4.6.3.**

Any individual Faculty member denied an increment or salary increase may appeal no later than April 20<sup>th</sup> to the Vice Chancellor for Human Resources, or designee. Within fifteen (15) days of such appeal, the Executive Vice Chancellor and Provost shall convene a review committee. The committee will be composed of the Executive Vice Chancellor and Provost, the President of the College, and two (2) members from the Faculty appointed by the Faculty Senate President. The Faculty member may be present at the hearing, along with a representative of his/her choice. If a College does not have a Faculty Senate President, the College President will convene the Faculty to elect the Faculty representatives. The committee will review the evidence and forward a recommendation to the Chancellor. The Chancellor will review the evidence, consider the advice of the committee, and render a final decision no later than May 15<sup>th</sup>.

### **4.7. Restrictions and Exceptions to Advancement**

#### **4.7.1.**

In order for a Faculty member to be advanced one (1) vertical step on the salary schedule, that Faculty member shall have worked at least one (1) full semester as a Residential Faculty member. If the member worked less than one (1) full semester as a Residential Faculty member, he/she will remain on the same step of the schedule for the following year.

#### **4.7.2.**

Twenty-four (24) credit hours of approved study are required to progress to Step 14.

#### **4.7.3.**

The maximum vertical progression for nondegreed Faculty members is Step 9, unless a prescribed plan of educational/professional development is completed and approved by the Vice Chancellor for Human Resources, or designee, Executive Vice Chancellor and Provost, and the Faculty Professional Growth Committee.

### **4.8. Other Paid Duties and Benefits**

All other paid duties, rates of pay, as well as other benefits, for Faculty shall be in the Appendices (A, B, C, D, E) of this *Residential Faculty Policies* manual.

### **4.9. Employment for Less Than Contract Year**

For Faculty members working less than the regular contract year, pay shall be prorated on the basis of the number of days actually worked.

### **4.10. Employment for Less Than One Hundred Percent (100%) Time**

Residential Faculty members may be employed by MCCCDC to work less than a full contract load, but in no instance less than seventy-five percent (75%) of the full contract load. Those members employed to work less than a full contract load shall receive or earn prorated salary, paid leaves of absence, professional growth, and Flexible Benefits based upon the member's FTE percentage, and be required to meet all accountability and professional responsibilities on a pro-rated basis.

### **4.11. Faculty Professional Growth Activities**

Faculty Professional Growth activities are administered in compliance with Appendix A.



## **4.12. One-Year-Only (OYO) and One-Semester-Only (OSO) Faculty**

### **4.12.1. Hiring Practices for OYO/OSO Faculty**

OYO/OSO faculty may be hired only to address one of the following situations:

#### **4.12.1.1.**

To backfill a Residential Faculty line when the incumbent is reassigned to a non-faculty position or is on sabbatical or leave.

#### **4.12.1.2.**

To launch a new program at a college when no Residential Faculty exist at the college that are qualified in the program area. The program may be staffed by OYO/OSO faculty up to a maximum of six semesters while it is determined if the program is viable.

#### **4.12.1.3.**

To backfill a Residential Faculty line vacated due to a retirement or separation when there is insufficient time between the vacating of the line and the first day of the semester in which the new hire will need to begin teaching.

#### **4.12.1.4.**

To backfill a Residential Faculty line when a search process for a Residential Faculty new hire has failed (*i.e.*, no preferred candidates offered the job accepted the position).

#### **4.12.1.5.**

To provide time for the college to enter the appropriate faculty hiring cycle.

#### **4.12.1.6.**

Exceptions may be made with the authorization of the College President or designee in consultation with the Faculty Senate President or designee. If the Faculty Senate President or designee is unavailable for consultation, the College President will notify the Faculty Senate President of the decision and rationale. The number of OYO/OSO faculty at the college permitted under Section 4.12.1.6. will not exceed 2% of the total number of filled Residential Faculty positions or two (2) positions, whichever is greater, at any time during the academic year. In calculating the permissible number of OYO/OSO positions, standard rounding rules will apply. After six (6) consecutive semesters for the same assignment at the same college have been completed, and if the position is to be continued as a full-time position, the college will post and fill a Residential Faculty position. If the college decides to not post and fill a Residential Faculty position, the college may use adjunct faculty to address the need. Any extension beyond the six (6) consecutive semester limitation must be approved by the Vice Chancellor for Human Resources.



#### **4.12.2. OYO/OSO Faculty Later Hired as Residential Faculty**

OYO/OSO experience will count towards salary placement for Residential Faculty but will not count towards the probationary period, sabbatical eligibility, and seniority.

### **5. EMPLOYMENT CONDITIONS**

#### **5.1. Calendar**

##### **5.1.1. Contract Year**

###### **5.1.1.1.**

The academic year for Faculty shall consist of 195 consecutive days between mid-August and mid-May, of which 170 shall be days of accountability. The contract year commences on July 1<sup>st</sup> and continues through June 30<sup>th</sup>.

###### **5.1.1.2.**

Exceptions to the above may be made, by mutual agreement between the Faculty member and the College President, so long as the Faculty member work load is equivalent to that required in other sections of this policy manual. Such exceptions must also be approved by the Vice Chancellor for Human Resources, or designee.

###### **5.1.1.3.**

Mutually agreeable prorated Faculty contracts of a duration greater than 195 days may be approved by the College President after consultation with the Department/Division Chair of the involved discipline/service area. The maximum length of a prorated extended contract is ten (10) weeks. To ensure consistency and equity in the proration of extended contracts, the provisions of C.3.4. and C.4.2. shall apply. Instructional faculty are to meet thirty (30) hours of professional responsibilities per week and service faculty are to meet thirty-five (35) hours of professional responsibilities per week during prorated extended contract periods. The College President may prohibit a Faculty member on an extended contract from accepting additional MCCCDC contracts during the extended contract period.

##### **5.1.2. Paid Holidays and Recesses.**

The following holidays shall be contract days on which no work will be required, but regular pay will continue: Independence Day, Labor Day, Veterans Day, Thanksgiving Break, Winter Break, Martin Luther King Day, Presidents Day, Spring Break (five [5] days), and Memorial Day.

###### **5.1.2.1.**

The foregoing will be paid days only if they fall within any Faculty member's contractual period.

###### **5.1.2.2.**

If the Department/Division Chair of the affected discipline/service area and the College President determine that Faculty members should work identified paid holidays and/or breaks, then compensatory hour(s)/day(s) equal to the time worked shall be granted.

### **5.1.3. In-service and orientation days**

The regular contract year shall include a minimum of one (1) day each semester that will be used by the individual Faculty member in preparation for the opening of each semester.

## **5.2. Residential Faculty Positions**

### **5.2.1.**

Residential Instructional Faculty authorized positions at any college are based on total instructional load for the most recently completed Fall and Spring semesters in the same academic year. Load is converted to full-time teacher equivalents (FTTE) by dividing total instructional load by 30. Library Faculty and Counseling Faculty authorized positions are based on Fall 45th-day FTSE.

### **5.2.2.**

At the individual colleges (except Rio Salado), a minimum of 60% of the total instructional load shall be taught by Residential Faculty. The residential/adjunct ratio (as defined in 1.2.) for the individual colleges (except Rio Salado) will be calculated each Fall semester. During the eight to ten (8 – 10) year implementation phase commencing in Fall 2013, colleges shall increase the number of filled Residential Faculty lines every year until the percentage of instructional load taught by Residential Faculty reaches and is maintained at 60% or higher. Implementation plan guiding principles identified by the meet and confer team will inform the implementation phase.

### **5.2.3.**

At the individual colleges (except Rio Salado), there will be a minimum of one (1) residential Library Faculty and one (1) residential Counseling faculty per 1000 FTSE. The library and counseling faculty ratios (as defined in 1.2.) for the individual colleges (except Rio Salado) will be calculated each Fall semester based on Fall 45<sup>th</sup>-day FTSE. During the eight to ten (8 – 10) year implementation phase commencing in Fall 2014, colleges shall increase the number of filled residential library and counseling faculty lines until the 1000:1 ratios are attained. Implementation plan guiding principles identified by the meet and confer team will inform the implementation phase.

### **5.2.4.**

As a part of its normal deliberations, the College Staffing Advisory Committee will seek to allocate faculty lines with an appropriate balance between departments/divisions to ensure that 60% of the total instructional load at each college is taught by Residential Faculty and the library and counseling faculty ratios are achieved.

## **5.3. Faculty Member Load**

### **5.3.1.**

A full-time load for an instructional Faculty member will be thirty (30) instructional load hours per fiscal year. Satisfying Residential Faculty minimum instructional load requirements takes priority over instructional load assigned to adjunct faculty, given comparable qualifications. Instructional load will normally be split between two (2) consecutive semesters. Exceptions to this norm will be permitted by mutual agreement between the Faculty member and the appropriate Vice President. Lecture hours are to be on a one-to-one basis. Laboratory hours are to be counted as 0.7 of a lecture hour. Laboratory hours are those clock hours that exceed the

credit hours for a particular course. P.E. activity classes will be given 0.75 instructional load for each instructional period.

**5.3.1.1. Faculty Load for Large Classes**

<b><u>Types</u></b>	<b><u>Students</u></b>	<b><u>Load</u></b>
Regular Lecture Classes	Up to 59	Regular Load
	60-89	1.5 x Regular Load
	90 & Over	2 x Regular Load
Math	Up to 52	Regular Load
	53-75	1.5 x Regular Load
	76 & Over	2 x Regular Load
English Composition	Up to 44	Regular Load
	45-59	1.5 x Regular Load
	60 & Over	2 x Regular Load

**5.3.1.2.**

Regular lecture classes that involve separate class sections of different duration shall be calculated on a FTSE-generation basis. For example, if the class is a 15-week, 3-credit class that also contains students registered for 10 weeks/2 credits, the 2-credit students shall count as 0.67 student each. A 5-week/1-credit student shall count as 0.33 student. (This provision does not apply to non-lecture-type classes nor to classes in which concurrent sections are offered for the entire semester or where class size is not above the Regular Load.)

**5.3.1.3. Field-Trip Courses**

**5.3.1.3.1. During Faculty member dates of accountability.**

Load—0.2 load hours\* for each day of field trip conducted during a paid school holiday or employee paid vacation period. Pay will be at the rate as set forth in Section C.3.3. Overload Rate.

\* Maximum of 1.0 load for any field trip.

**5.3.1.3.2. Outside Faculty member dates of accountability.**

Load—1.0 load for the first five (5) days and 0.17 load per day of field trip in excess of five (5) days.

**5.3.2.**

When a Faculty member agrees to an overload, his/her compensation will be based on load hours in excess of fifteen (15.0) per semester or thirty (30.0) per academic year.

**5.3.3.**

If the total teaching load for a Faculty member is below thirty (30.0) load hours for the academic year, his/her contract amount will be adjusted to reflect this deficiency.

#### **5.3.4.**

Experimental loading may be utilized in occupational programs, interdisciplinary programs, or other specialized programs, if approved by the Executive Vice Chancellor and Provost in consultation with the Vice Chancellor for Human Resources, or designee.

### **5.4. Accountability/Professional Responsibilities**

#### **5.4.1.**

Instructional Residential Faculty members are required to meet the thirty (30) hours of professional responsibilities per week.

- to meet all classes as scheduled;
- to hold a minimum of five (5) scheduled academic support hours reflective of the Faculty member's teaching schedule, and to post the time and location of scheduled support hours so that they are publicly accessible to students;
- to participate in department, division, college, and/or District activities as defined in Section 1.2. and other assignments made pursuant to 5.4.7.; and
- Instructional Faculty reassigned to perform work other than teaching classes shall be accountable for two (2) hours per week for each load hour of reassigned time.

The Department/Division Chair and the Faculty member, in consultation with the appropriate administrator, will determine assignments with the final approval of the College President. Faculty members will be permitted to teach hours in the evening/weekend program to make their load.

All Faculty shall meet their hours of accountability/professional responsibilities within the parameters of the day program as defined in Section 1.2. unless initially hired under different circumstances or amended by mutual consent.

#### **5.4.2**

Hours of accountability for Service Faculty who teach classes will be reduced at the rate of two hours and twenty minutes for each load hour. To meet the thirty-five (35) hours per week of accountability/professional responsibilities, service Faculty members are required to meet scheduled Department/Division assignments and other College/MCCCD-related activities as defined in Section 1.2.

#### **5.4.3.**

Within the hours of accountability/professional responsibilities, all Faculty members are required to maintain equity of assignments and to participate in nonteaching assignments.

#### **5.4.4.**

All administratively approved reassigned time will be processed through and with the approval of the College President. Such reassigned time shall be part of the hours of accountability/professional responsibilities. Other paid activities beyond

the base contract will not be considered part of the hours of accountability/professional responsibilities.

**5.4.5.**

The College President, or designee, shall develop a list of approved extracurricular assignments, with the advice and recommendation of the Faculty Senate President. Faculty assignments shall be determined by the College President after consultation with the Faculty Senate President.

**5.4.6.**

The Faculty assumes reasonable responsibility for MCCC/College facilities and equipment under its supervision and control.

**5.4.7.**

Nonteaching professional responsibilities may be assigned by the Chancellor or designee. Notification of such assignment will be through the Office of the College President. Such activities include participation in Faculty curricular and educational development meeting(s), in-service training program(s), ceremonial exercises such as commencement, academic advisement, and such other activities as may reasonably be required for the full and proper discharge of the Faculty member's responsibilities.

**5.5. Assignments Beyond the Regular Contract**

(See Appendix C.)

**5.6. Substitution**

Qualified substitutes may be employed when deemed necessary by the College President or designee. Qualified Residential Faculty members may be employed as substitutes. (See Substitute Pay Schedule—Appendix C.)

**5.7. Hiring Practices—Employment Requirements**

Prior to assuming their duties, all Faculty members will file a loyalty oath as required by the Arizona Revised Statutes.

**5.8. Sabbatical Leaves**

Sabbatical leaves may be granted to qualified members of the Faculty. The administration and conditions of sabbatical leave shall be governed by the policy as stated in Appendix A.

**5.9. Professional Unpaid Leaves**

Professional unpaid leaves are those leaves that are recommended by the Faculty Professional Growth Committee, approved by the Chancellor or designee, and relate to the Faculty member's assigned duties. Therefore, the Faculty member is entitled to continuing service credit (advancement on salary schedule and continuous credit for sabbatical leave). The administration and conditions of professional unpaid leave shall be governed by the policy as stated in Appendix A.

## 6. CONFLICT RESOLUTION

### 6.1. Grievance Procedure

A grievance is an alleged misapplication, misinterpretation, or violation of a specific provision(s) of the *Residential Faculty Policies* that adversely affects the grievant. Matters not specifically covered by the *RFP* should be addressed through Resolution of Controversy.

#### 6.1.1.

A grievant may choose one of two processes:

##### 6.1.1.1.

Informal level. Within twenty-five (25) business days of the occurrence of the act or omission giving rise to the grievance or within twenty-five (25) business days of the date when the grievant should reasonably have known of the act or omission, the grievant shall present the grievance orally to his/her immediate supervisor, citing the specific section of the *RFP* which has allegedly been misapplied, misinterpreted, or violated. The purpose of bringing the matter to the attention of the immediate supervisor is to resolve the matter at the lowest level, or

##### 6.1.1.2

The mediation process, as defined in Section 6.3., may be initiated if all parties agree and follow steps outlined in Section 6.3.

#### 6.1.2. Formal Level

##### 6.1.2.1. Level 1—Appropriate Vice President.

If the grievant is not satisfied with the disposition of the alleged grievance at the informal level, the grievant may file the original grievance, in writing, within seven (7) business days with the Vice President who is the immediate supervisor of the person to whom the grievance was taken at the informal level. If the grievant elects not to file the original grievance in writing within seven (7) business days, the grievance will be considered terminated. If no decision is rendered within five (5) business days after the informal meeting, the grievance is automatically forwarded to the next level. (If the grievant's immediate supervisor at the informal level reports directly to the College President, this step in the grievance process can be omitted.) Within ten (10) business days after receipt of the written grievance, the appropriate Vice President and the grievant will meet in an attempt to resolve the grievance.

**6.1.2.2. Level 2—College President.**

If the grievant is not satisfied with the disposition of the grievance at Level 1, the grievant may, within fifteen (15) business days of the meeting with the appropriate Vice President, forward the written grievance and the response received at Level 1 to the College President. If the grievant elects not to forward the grievance in writing within fifteen (15) business days of the meeting with the Vice President, the grievance will be considered terminated. If no decision is rendered within ten (10) business days of the meeting with the Vice President, the grievance will be automatically forwarded to the next level. Within five (5) business days, the College President, or designee, will arrange a meeting at a mutually agreeable time and place, such meeting to occur within five (5) business days after the grievant is notified. The College President will issue a written response to the grievant and to the Faculty Senate President within five (5) business days after the meeting.

**6.1.2.3. Level 3—Vice Chancellor.**

If the grievant is not satisfied with the disposition of the grievance at Level 2, grievant may, within fifteen (15) business days of the meeting with the appropriate College President, forward the written grievance and the response received at Level 2 to the Vice Chancellor for Human Resources. If the grievant elects not to forward the grievance in writing within fifteen (15) business days of the meeting with the College President, the grievance will be considered terminated. If no decision is rendered within ten (10) business days of the meeting with the College President, the grievance will be automatically forwarded to the next level. Within five (5) business days, the Vice Chancellor for Human Resources, or designee, will arrange a meeting at a mutually agreeable time and place, such meeting to occur within five (5) business days after the grievant has been notified. The Vice Chancellor for Human Resources, or designee, will issue a written response to the grievant and to the Faculty Senate President within five (5) business days after the meeting.

**6.1.2.4. Level 4—Chancellor.**

If the grievant is not satisfied with the disposition of the grievance at Level 3, the grievant may, within five (5) business days after receipt of the written decision, forward the written grievance and response to the Chancellor. The Chancellor or designee, will render a written decision within fifteen (15) business days after receipt of the written grievance.

**6.1.2.5. Level 5—Governing Board.**

If the grievant is not satisfied with the Chancellor's decision at Level 4, the grievant may, within five (5) business days, request that the grievance be reviewed by the Governing Board. The Governing Board may, at its option within thirty (30) days after receipt of the written grievance, review the evidence and issue a written decision which shall be final. Should no written decision be rendered within thirty (30) days, the decision at Level 4 is final.

### **6.1.3. Miscellaneous**

#### **6.1.3.1. Written Decisions.**

Decisions rendered at Level 1 that are unsatisfactory to the aggrieved person and all decisions rendered at Levels 2, 3, 4, and 5 of the grievance procedure shall be in writing, setting forth the decision and the reasons therefore, and shall be transmitted promptly to all parties in interest.

#### **6.1.3.2. Separate Grievance File.**

All documents, communications, and records dealing with the processing of a grievance shall be filed in a separate grievance file and shall not be kept in the personnel file of any participant. A member shall have access to all documents pertaining to his/her grievance and may acquire copies of same.

#### **6.1.3.3. Meetings and Hearings.**

All meetings and hearings under this procedure shall be conducted in private and shall include only such parties in interest and their designated or selected representatives.

#### **6.1.3.4.**

If the aggrieved party is the Faculty Association, the grievance will be initiated at the appropriate level, but in no case lower than Level 2.

#### **6.1.3.5.**

Every effort shall be made to resolve year-end grievances prior to the end of the academic year.

#### **6.1.3.6.**

The aggrieved may not present any issues at Level 2, 3, 4, or 5 not presented at Level 1.

#### **6.1.3.7.**

Time limits provided in this grievance procedure may be extended by mutual agreement, in writing, between the aggrieved and the administration.

#### **6.1.3.8.**

The term "business day" is any day on which the District Support Services Center offices are open for business.

#### **6.1.3.9.**

The grievance procedures established here may not be the sole and exclusive remedy available to a grievant for resolving disputes arising under this document. It is understood that the subject matter forming the basis of the grievance may also be instituted in an administrative action before a governmental board or agency. The provisions of Section 3.98. still apply.



## **6.2. Resolution of Controversy**

Complainants seeking remedy for issues arising outside this policy manual (interpretation, application, or violation of Board policies that have a direct impact on the terms and conditions of Faculty employment, or decisions of unfair or inequitable treatment in such areas as Instructional Councils) can be appealed for resolution by the following procedures:

### **6.2.1.**

A complainant may choose one of two processes:

#### **6.2.1.1.**

Informal level. Within forty-five (45) business days of the occurrence of the act or omission giving rise to the Resolution of Controversy or within forty-five (45) business days of the date when the complainant should reasonably have known of the act or omission, the complainant shall present the Resolution of Controversy orally to his/her immediate supervisor. The purpose of bringing the matter to the attention of the immediate supervisor is to resolve the matter at the lowest level.

#### **6.2.1.2.**

The mediation process, as defined in **Section 6.3.**, may be initiated if all parties agree and follow steps outlined in **Section 6.3.**

### **6.2.2. Formal Level**

#### **6.2.2.1. Level 1—Appropriate Vice President.**

If the complainant is not satisfied with the disposition of the Resolution of Controversy at the informal level or if no decision has been rendered within five (5) business days after the informal meeting, the complainant may file the original Resolution of Controversy, in writing, within seven (7) business days with the Vice President who is the immediate supervisor of the person to whom the Resolution of Controversy was taken at the informal level. (If the complainant's immediate supervisor at the informal level reports directly to the College President, this step in the Resolution of Controversy process can be omitted.) Within ten (10) business days after receipt of the written Resolution of Controversy, the appropriate Vice President and the complainant will meet in an attempt to resolve the Resolution of Controversy.

**6.2.2.2. Level 2—College President.**

If the complainant is not satisfied with the disposition of the Resolution of Controversy at Level 1, or if no written decision has been rendered within ten (10) business days, the complainant may, within fifteen (15) business days of the meeting with the appropriate Vice President, forward the written Resolution of Controversy and the response received at Level 1 to the College President. Within five (5) business days, the College President, or designee, will arrange a meeting at a mutually agreeable time and place, such meeting to occur within five (5) business days after the complainant is notified. The College President will issue a written response to the complainant and to the Faculty Senate President within five (5) business days after the meeting.

**6.2.2.3. Level 3—Vice Chancellor.**

If the complainant is not satisfied with the disposition of the Resolution of Controversy at Level 2, or if no written decision has been rendered within ten (10) business days, the complainant may, within fifteen (15) business days of the meeting with the appropriate College President, forward the written Resolution of Controversy and the response received at Level 2 to the Vice Chancellor for Human Resources. Within five (5) business days, the Vice Chancellor for Human Resources, or designee, will arrange a meeting at a mutually agreeable time and place, such meeting to occur within five (5) business days after the complainant has been notified. The Vice Chancellor for Human Resources, or designee, will issue a written response to the complainant and to the Faculty Senate President within five (5) business days after the meeting.

**6.2.2.4. Level 4—Chancellor.**

If the complainant is not satisfied with the disposition of the Resolution of Controversy at Level 3, the complainant may, within five (5) business days after receipt of the written decision, forward the written Resolution of Controversy and response to the Chancellor. The Chancellor or designee, will render a written decision within fifteen (15) business days after receipt of the written Resolution of Controversy.

**6.2.2.5. Level 5—Governing Board.**

If the complainant is not satisfied with the Chancellor's decision at Level 4, the complainant may, within five (5) business days, request that the resolution of controversy be reviewed by the Governing Board. The Governing Board may, at its option within thirty (30) days after receipt of the written Resolution of Controversy, review the evidence and issue a written decision which shall be final. Should no written decision be rendered within thirty (30) days, the decision at Level 4 is final.

**6.2.3.**

College-level appeal will involve the Faculty Senate President and shall start at the level next higher than the person involved in the decision in question.

**6.2.4.**

If the decision under appeal was made at the highest College level, the process will move to the District level.

**6.2.5.**

District-level appeal will involve the District Faculty Association President and Council of Presidents.

### **6.3. Informal Resolution and Mediation**

#### **6.3.1.**

All Faculty shall be accorded treatment by their supervisors in a manner befitting the professionalism of all parties. In the interest of collegial relations, an issue may be resolved either through informal resolution or, thereafter, mediation before entering into the formal level of the grievance process as set forth in Section 6.1.2. or the escalated procedures set forth in Section 6.2.

To initiate this process, a party must contact the Faculty Senate President, the Department/Division Chair, or the appropriate Vice President.

#### **6.3.1.1. Informal Resolution Process**

Informal resolution shall consist of an arranged meeting with all relevant individuals, *i.e.*: the parties involved, the appropriate Department/Division Chair, the appropriate Vice Presidents(s), and the Faculty Senate President or his/her designee.

#### **6.3.1.2. Mediation Process**

If all parties agree to mediate, the Faculty Senate President or other college senate officer will request that the Office of the Vice Chancellor for Human Resources contact the mediation service. The Mediator (trained, certified, and neutral) will mediate, conciliate, and coordinate communication among disputing parties.

##### **6.3.1.2.1.**

The Mediator shall coordinate meetings and/or the exchange of correspondence between the disputing parties. The Mediator shall establish the time, date, and place of each meeting, giving at least 48 hours notice. If all parties agree, mediation can occur with less than 48 hours notice.

##### **6.3.1.2.2.**

The Mediator shall forward copies of the complaint and the mediation guidelines to the disputing parties. All mediation meetings shall be closed and confidential.

##### **6.3.1.2.3.**

If the matter is resolved through mediation, the Mediator shall prepare an Agreement within five (5) business days of the completion of the mediation stating:

###### **6.3.1.2.3.1.**

The names of the parties;

###### **6.3.1.2.3.2.**

that the mediation was successful;

###### **6.3.1.2.3.3.**

the terms of the resolution.

Each party shall sign and date the Agreement at which time the mediation process ends.

**6.3.1.2.4.**

The Notice of Resolution, which will include the names of the parties involved, that the mediation was successful and the date of resolution, will be sent to the parties involved, the Faculty Senate President, the appropriate Vice President(s), and the College President.

**6.3.1.2.5.**

Information received by the mediator during the mediation process will be confidential. Personal notes and minutes created or received during the mediation will be destroyed by the Mediator. The Mediator will not testify or provide statements about cases in which he or she were involved to grievance or any other committees or hearing boards.

**6.3.1.2.6.**

The Mediator may terminate mediation after meeting with each party at least once if it is apparent that the matter cannot be mediated.

**6.3.1.2.7.**

If the matter is grievable or subject to the Resolution of Controversy process, a party has an additional fifteen (15) business days from the termination of mediation to proceed with the formal level of the grievance process addressed in Section 6.1.2. in accordance with the terms thereof, or in the matter of a Resolution of Controversy, to the next applicable level of appeal as set forth in Section 6.2.

**6.3.1.2.8.**

The mediation process shall conclude within forty-five (45) calendar days following the assignment of a mediator to the dispute. If no final resolution has been reached within that time, the mediation process shall cease; however, the mediator shall continue the mediation process for no more than fifteen (15) additional calendar days if the mediator and all parties to the mediation expressly acknowledge that the mediation process has been constructive and that the mediator and all parties believe that continuing the process will lead to a successful resolution of the dispute.

**6.3.2.**

No Faculty member shall be disciplined, reprimanded, suspended, or reduced in assignment or compensation without just cause.

**6.3.3.**

When any Faculty member is required by the College President to appear before the Chancellor, Governing Board, or any committee thereof, concerning any matters that could adversely affect employment, he/she shall be entitled to select a representative and/or to have legal counsel present to advise and/or represent him/her during such meetings or interviews.

**6.4. Administrative Evaluation**

**6.4.1.**

The purpose of this process is to evaluate the validity of a complaint against a Faculty member and, at the option of the College President or designee, to provide guidance as to appropriate action. This process should not be used to evaluate student claims of discrimination or student complaints involving an academic process.

**6.4.2.**

The College President, or designee, in response to a written, signed complaint from a student, Faculty member, administrator, or staff, may initiate an administrative evaluation after advising the Faculty member within fifteen (15) accountability days of receipt of the complaint; and, at the option of the Faculty member, will advise the Faculty Senate President.

**6.4.3.**

The evaluation team for an administrative evaluation shall consist of the appropriate Vice President, a Faculty member chosen by the evaluatee, and a Faculty member appointed by the College President, who may ask that this Faculty member be appointed by the Faculty Senate President. In no instance shall the Department/Division Chair of the evaluatee or the Faculty Senate President serve on the evaluation team. The evaluatee must secure the Faculty member and communicate acceptance to the College President or designee within seven (7) calendar days of notification of the Administrative Evaluation; otherwise, the Faculty Senate President will appoint a Faculty member within seven (7) calendar days after the failure occurs.

#### **6.4.4.**

Prior to the evaluation, the College President, in consultation with the evaluation team, will determine the nature and scope of the evaluation. The scope may be expanded to include recommendation for action to the College President. The evaluation process is confidential. Only the results of the evaluation will be discussed with the evaluatee, the evaluation team, and, at the option of the evaluatee, the Faculty Senate President. A report that explains the evaluation results will be generated within forty (40) accountability days from the receipt of the signed complaint and placed in the evaluatee's official personnel file (**see also RFP 3.9.4. & 3.9.6.**). The College President and the evaluatee will receive a copy of this evaluation. By mutual agreement between the College President or designee, evaluation team and evaluatee, the deadline may be extended.

#### **6.4.5.**

The College President will have fourteen (14) calendar days from receipt of the report to take any action deemed necessary based on the results of the evaluation.

### **6.5. Conflict Between Student and Faculty Member**

When there is a complaint by a student against a Faculty member, the proper District or College authority will work in confidence with the parties to resolve the conflict. A Faculty member will not be required to respond to any complaint that is not in writing over complainant's signature or to complaints that do not have specific documentation of incidents such as dates, times, etc. This complaint must be made available to the Faculty member involved.

#### **6.5.1.**

A student who feels that he/she has been treated unfairly or unjustly by a Faculty member (full-time or part-time) with regard to an academic process such as grading, testing, or assignments, shall discuss the issue first with the Faculty member involved. This conference shall be requested by the student within fifteen (15) business days from the time the student knew or reasonably should have known about the unfair or unjust treatment. This instructional grievance process shall not be utilized in a case in which a student feels he/she has experienced discrimination. If the student feels that he/she has experienced discrimination on the basis of race, color, religion, national origin, gender, age, disability, veteran status, or sexual orientation, the student shall refer to the Discrimination Complaint Procedures for Students as administered by the Vice President for Student Affairs.

#### **6.5.2.**

If, within ten (10) business days of the request for the conference with the Faculty member, the problem is not resolved or the Faculty member has been unable to meet with the student, the student may continue the process by filing a written complaint with the Department/Division Chair and appropriate administrative office at the College. This written complaint must be filed within ten (10) business days following the previous deadline. The written complaint will be given to the Faculty member five (5) days before any official meetings are convened.

**6.5.3.**

Upon receipt of a written complaint, the Department/Division Chair or appropriate college administrative officer will work with the parties in an attempt to resolve the conflict. The Faculty member may ask that the Faculty Senate President be in attendance. Every attempt will be made to maintain confidentiality during this process.

**6.5.4.**

A Faculty member will not be required to respond to a complaint that is not in writing and that, when appropriate, did not have the specific documentation including dates, times, materials, etc. The written complaint will be made available to the Faculty member.

**6.5.5.**

If the complaint is not resolved at this level within ten (10) business days, the student should forward, to the Vice President of Academic Affairs or appropriate College administrative office, a copy of the original written complaint with an explanation regarding action taken at each prior level. The Vice President of Academic Affairs or appropriate College administrative officer will meet with the student, Faculty member, the Department/Division Chair, and the Faculty Senate President (the latter upon request of the Faculty member), and attempt to resolve the issues. This level will be the final step in any complaint process regarding grades.

**6.5.6.**

If the complaint, other than those concerning grades, is not resolved by the Vice President of Academic Affairs or the appropriate College administrative officer, it may be forwarded in writing by the student to the College President for final resolution. The College President, or designee, will expedite a timely examination of the issues and will issue a final written determination in the complaint process.

**6.6. Internal Investigations**

**6.6.1.**

Internal investigations will be conducted only by agents granted investigative authority pursuant to MCCCDC policies and procedures, or by an administratively authorized assignment or contract.

To the extent allowed by law, a Faculty member against whom a complaint is filed will be provided with:

**6.6.1.1.**

a written copy of the complaint, if and when MCCCDC procedure requires, or if a preliminary review or investigation indicates that a response from the accused Faculty member must be requested to complete the investigation and/or to comply with MCCCDC policies and procedures.



**6.6.1.2.**

a written copy of the investigator's final determination or recommendations at the conclusion of the investigation.

**6.6.2.**

A Faculty member may submit a written response to the allegations at any time during the investigation, as well as a written rebuttal after the investigator's final determination or recommendations have been issued. The complaint and all written statements, responses, and rebuttals will be appended to the investigator's official final determination or recommendations.

**6.6.3.**

A Faculty member who is required to appear or respond to a matter related to an internal investigation has a right to representation and counsel as stipulated in **Sections 6.3.2. and 6.3.3.** In no case shall a Faculty member be required to appear or respond prior to receiving a written copy of the complaint.

**6.6.4.**

Retaliation or retribution by a Faculty member against any person who files a complaint may result in disciplinary action up to and including termination. Similarly, if the investigator determines that the complaint is materially false and that it was made in bad faith, the complaining party may be subject to disciplinary action up to and including termination or expulsion.

## **7. EFFECT OF POLICY**

### **7.1. Separability**

In the event that any provision of this policy is contrary to any Residential Faculty Policies adopted by the District prior to the effective date of this policy acceptance, the provisions of this policy shall apply. In the event that any provisions of these policies shall be declared invalid by any court of competent jurisdiction, such decisions shall not invalidate the entire policy, it being the express intention of the parties that all other provisions not declared invalid shall remain in full force and effect.

### **7.2. Statement of Good Faith**

Both parties agree that, during the course of developing this policy, each party had the opportunity to identify issues, state interests, and evaluation options. The parties further agree that all obligations and benefits herein are the result of voluntary agreement. This document contains the full and complete agreement reached on issues considered. No amendment or supplement to this policy shall be deemed effective unless agreed upon according to the provisions of Section 2.9., reduced to writing, ratified by the Residential Faculty, and approved by the ~~Governing Board~~ Chancellor.

### **7.3. Compliance Between Individual Contract and Policy**

Any individual contract between the Board and an individual Faculty member (except in the case of certain specially funded positions) shall be subject to and consistent with the terms and conditions of this policy.

### **7.4. Renewal and Process for Successor Agreement**

This agreement shall remain in full force and effect until July 1, ~~2014~~2016, and thereafter from year to year unless either party gives written notice of an intention to reopen negotiations no later than September 1.

### **7.5. Sharing of Financial Data**

By September 15, using the process described in Appendix G, the Vice Chancellor of Business Services will provide the Faculty Association with the District Financial Plan and other financial information necessary for effective discussions and negotiation.

### **7.6. Interest-Based Negotiation Timeline and Process**

#### **7.6.1. Issues Identification and Prioritization**

The members of the Meet and Confer Team will identify proposed negotiation issues in writing at the first formal meeting of the year that shall occur no later than September 15. During the second meeting of the year, the Meet and Confer Team will identify by consensus the initial set of issues to negotiate. Issues may include but are not limited to compensation, benefits, and working conditions.

#### **7.6.2. Meeting Schedule**

The Meet and Confer Team will meet a minimum of twice a month from September to the following April except in December and January when the team will meet once. Meetings will be three hours in duration. This schedule may be modified upon mutual consent of the team members.

### **7.6.3. Ground Rules**

During the first formal meet and confer meeting of the negotiation year, the Meet and Confer Team will reach consensus on ground rules that will govern the negotiation process.

### **7.6.4. Agreements**

The Meet and Confer Team will reach agreements by consensus through use of the interest-based negotiation process. Agreements shall be reduced to writing and affirmed by members of the Meet and Confer Team for inclusion in the Residential Faculty Policies.

### **7.6.5. Presentation to the Board**

The faculty and administrative co-chairs of the Meet and Confer team shall be permitted to regularly and jointly update the ~~Governing Board~~Chancellor on progress related to the resolution of issues. Updates may be provided in-person at a ~~Governing Board~~Chancellor meeting or via an alternate communication method as agreed upon by the Meet and Confer Team.

### **7.6.6. Board Decision**

On or before April 15, the Meet and Confer Team will submit a written report to the Board summarizing all agreements reached, issues resulting in impasse, and issues being rolled forward to the next negotiation year.

#### **7.6.6.1.**

The Board will consider the recommendations of the Meet and Confer Team and may accept, reject, or modify the proposed solutions consistent with its authority.

#### **7.6.6.2.**

Final action by the Board and ratification by the Residential Faculty shall constitute the agreement for a budget year and shall be contained in the Residential Faculty Policies.

### **7.7. Date of Implementation for Policy Change(s)**

Any change in policy from the prior year will become effective July 1 (unless otherwise indicated in this policy manual), and shall continue in effect or terminate, as provided in Section 7.4.

### 7.8. Attestation Page

This agreement shall become effective upon ratification by the parties except as specifically provided otherwise in this agreement. This agreement, which will become effective on ~~September~~July 1, ~~2014~~2015, shall constitute the full and complete commitment between both parties.

Rufus Glasper  
Chancellor  
MCCCD

Keith Heffner  
President  
MCCCD Faculty Association

Irene Kovala  
Co-Chair (Administration)  
Meet and Confer Team

Frank Wilson  
Co-Chair (Faculty)  
Meet and Confer Team

Sam Dosumu  
Administration Member  
Meet and Confer Team

Patricia Finkenstadt  
Faculty Member  
Meet and Confer Team

Casandra Kakar  
Administration Member  
Meet and Confer Team

Brian Quarles  
Faculty Member  
Meet and Confer Team

Amy MacPherson  
Faculty Member  
Meet and Confer Team

## **APPENDIX A - FACULTY PROFESSIONAL GROWTH POLICIES**

### **A.1. INTRODUCTION**

#### **A.1.1.**

MCCCD recognizes the value of professional growth as it pertains to the continued development of teaching and learning. Faculty may choose to advance on the salary schedule or receive reimbursement for various types of activities directly related to professional development. FPG has developed a set of policies and procedures that relate to advancement and reimbursement. All requests for salary advancement and/or reimbursement must adhere to the policies and procedures.

#### **A.1.2.**

The policy is essentially a method of recognizing the efforts of Faculty as they engage in professional activities related to their service to the District. The policy does not allow for compensation be provided for activities considered to be a part of a Faculty member's professional obligation.

#### **A.1.3.**

Because of the great variety of activities that are recognized and the even greater range of individual requests, it may be necessary to apply interpretive judgment to determine whether the request adheres to the policy. Part of the responsibility of the FPG Committee is to make such determinations.

#### **A.1.4.**

These guidelines will be prepared and reviewed annually by the FPG Committee and the Policy Review Committee. The guidelines will be approved annually by the Faculty Executive Council. Faculty should familiarize themselves with the guidelines prior to the submission of requests.

### **A.2. PROFESSIONAL GROWTH ADVANCEMENT—GENERAL INFORMATION**

#### **A.2.1.**

For a Faculty member to be credited with horizontal increments, transcripts, grade slips, official notices showing satisfactory completion for academic coursework, and proof of attendance or other types of verification required for non-academic advancement activities must be on file with the District Human Resources Division by September 15<sup>th</sup> of the contract year.

#### **A.2.2.**

No request for academic credit will be approved for any activity accomplished earlier than two (2) years prior to the submission of the request. Course work completed prior to the Faculty member's hire is deemed to be a condition of their employment that is used in determining their initial placement on the salary schedule, and therefore is not eligible for advancement under Professional Growth. Inclusion of coursework for horizontal placement may be appealed under Section 4.4. Salary Placement Review Board.

**A.2.3.**

Some activities require prior approval from the Faculty Professional Growth Committee.

**A.2.4.**

The Faculty Professional Growth Committee will at all times attempt to make determinations in an open and unbiased manner that is free from conflict of interest. Accordingly, Department/Division Chairs who are members of the Faculty Professional Growth Committee will abstain from decisions that affect the professional growth applications of Faculty members in their respective departments/divisions; likewise, Faculty members who are on the Professional Growth Committee will abstain from decisions that affect the professional growth applications of their respective Department/Division Chairs. Other potential conflicts of interest will be treated in a similar manner.

**A.3. POLICY FOR HORIZONTAL ADVANCEMENT ON THE SALARY SCHEDULE**

**A.3.1. Approval of Individual College Courses**

**A.3.1.1. Graduate Courses Within Subject Field Policy**

Graduate-level courses for which credit is granted, and not previously taken within the subject field, are almost always approved.

**A.3.1.2. Undergraduate Courses Within Subject Field**

Undergraduate courses within subject field will be acceptable if approved.

**A.3.1.3. Graduate Courses Not Within Subject Field**

Graduate courses not within the subject field are acceptable if the course has a direct application to the professional responsibility of the Faculty member. Courses required for the correction of Maricopa Community Colleges' Faculty hiring qualifications deficiencies will not be accepted for advancement on the salary schedule, *e.g.*, "The Community College" course.

**A.3.1.4. Undergraduate Courses Not Within Subject Field**

Undergraduate courses not within the subject field may be acceptable if approved.

**A.3.2. Approval of College Courses While Pursuing a Degree**

**A.3.2.1. Graduate Degree**

Not all graduate degrees advance the cause of the professional competency of the member. Specific justification must be supplied to prove that the completion of the degree will produce specific benefit and credit to the field of responsibility. Exceptions will be made for degrees leading to a probable future assignment.

**A.3.2.2. Undergraduate Degree**

Faculty members who are pursuing the Associate or Bachelor's degree must file a "Program of Study" as outlined by the degree-granting institution, and obtain approval from the appropriate Vice President.

### **A.3.3. Approval of Nonacademic Activity**

The maximum nonacademic activity credit allowed to advance on the salary schedule will be limited to fifty-seven (57) credit hours of the total eighty-five (85) hours possible. A clear and complete description of the nonacademic activity must be submitted with the request for credit approval. The Faculty Professional Growth Committee may request documentation and/or verification of the activity.

#### **A.3.3.1. Travel**

One (1) credit will be granted for each consecutive ten (10) days of travel. The maximum credit allowable in the area is twelve (12) credit hours.

#### **A.3.3.2. Work Experience**

The work experience must be directly related to the Faculty member's field of responsibility, and one (1) credit will be granted for each thirty-five (35) clock hours of work experience.

#### **A.3.3.3. Clinics, Conferences, Workshops and Seminars**

One (1) credit hour will be granted for each eighteen (18) hours in clinics, conferences, workshops, or seminars.

#### **A.3.3.4. Other Professional Activity**

One (1) credit will be granted for each thirty-five (35) clock hours of service performed. The activity must be in addition to the professional responsibilities of the Faculty member, and these activities must occur outside the regular hours of accountability.

## **A.4. SABBATICAL LEAVES**

### **A.4.1. Purpose of Leave**

Sabbatical leaves are granted to qualified Faculty members for intellectual stimulation normally to be attained by study, research, travel, suitable work experience, or other creative activity. The purpose of the sabbatical leave is to upgrade the educational program of the college by improving Faculty competency.

### **A.4.2. Administration of Sabbatical Leave Policy**

The Faculty Professional Growth Committee shall administer the sabbatical leave policy. The duties of the Sabbatical Leave Subcommittee shall be to prepare application forms; to screen application forms; to make recommendations for sabbatical leaves to the Faculty Professional Growth Committee. The Subcommittee shall consist of the Faculty Professional Growth Committee Chair, one (1) Faculty representative from each of the colleges, and two (2) Vice Presidents of Academic Affairs, or designees.

### **A.4.3. Application and Recommendation Procedures**

#### **A.4.3.1.**

The Office of the Vice Chancellor for Human Resources shall publish, by May 1<sup>st</sup>, an informational listing of persons eligible for sabbatical leave for the following year.

**A.4.3.2.**

Applications must be submitted to the appropriate Vice President of Academic Affairs for forwarding to the Sabbatical Leave Subcommittee no later than October 1<sup>st</sup>. If October 1 falls on a weekend, the due date is the Friday prior to October 1<sup>st</sup>. The Subcommittee may request additional written or oral explanations of proposals.

**A.4.3.3.**

The Subcommittee shall submit its recommendations to the Faculty Professional Growth Committee. The Faculty Professional Growth Committee shall present its list of recommendations to the Executive Vice Chancellor and Provost prior to December 30<sup>th</sup>. The District Faculty Association President will receive a copy of this list.

**A.4.3.4.**

The Executive Vice Chancellor and Provost will determine those Faculty members approved for sabbatical leaves and will provide the determinations to the applicants, Professional Growth Committee, and Faculty Association President by January 31.

**A.4.3.5.**

Recommendations approved in the Spring are for the Fall semester of that calendar year and the Spring semester and Summer of the next calendar year.

**A.4.4. Criteria for Sabbatical Selection**

Criteria for sabbatical selection will include, but will not be limited to, the following: (The order of listing does not indicate a priority.)

**A.4.4.1.** Service to the District.

**A.4.4.2.** Completion of advanced degrees.

**A.4.4.3.** Completing resident requirement for an advanced degree.

**A.4.4.4.** Research and publication.

**A.4.4.5.** Curriculum and materials development.

**A.4.4.6.** Planned and approved travel related to the subject field.

**A.4.4.7.** Practical training of job experience in a subject-related field.

**A.4.4.8.** Updating of knowledge in subject field.

**A.4.5. Conflicts**

If two (2) sabbaticals of comparable merit are received, the Faculty member applying for his/her first sabbatical will be given preference.



#### **A.4.6. Compensation and Financial Arrangements**

##### **A.4.6.1.**

A Faculty member on sabbatical leave will receive full pay for one-half (1/2) of the contract year and three-fourths (3/4) pay for the second one-half (1/2) of the contract year. A "short-term" sabbatical (defined as ten [10] weeks in length and taken outside of the contract assignment) may be granted. Pay for short-term sabbaticals will be ten (10) load hours at the overload rate.

##### **A.4.6.2.**

If the sabbatical activity includes remuneration from other than the MCCCCD, the sabbatical proposal must clearly state all financial arrangements. The Faculty Professional Growth Committee may recommend (a) a sabbatical with regular pay; (b) an adjustment in sabbatical leave salary; (c) a leave without pay; or (d) denial of the proposal.

##### **A.4.6.3.**

Faculty members on sabbatical leave will be paid at the same interval as other employees unless some other arrangement is made that is mutually satisfactory to the recipient and the administration. All other Faculty benefits shall accrue.

##### **A.4.6.4.**

The Faculty member's status shall not change while on leave, and the time spent on sabbatical leave will not interrupt progress on the salary schedule. The Faculty member receiving the sabbatical will return to the position that he/she left unless, by written mutual agreement, other arrangements were made prior to the sabbatical having been granted.

##### **A.4.6.5.**

A Faculty member on sabbatical will retain his/her status as a member of the Arizona State Retirement System according to the regulation of that system.

#### **A.4.7. Policy**

##### **A.4.7.1.**

Faculty members shall be eligible for consideration for sabbatical leave after serving twelve (12) consecutive semesters as Residential Faculty members in the MCCCCD. The twelve (12) consecutive semesters will not be considered as having been "broken" if the Faculty member accepts a "temporary assignment" in another policy group for a period of not more than two (2) years.

##### **A.4.7.2.**

A Faculty member is eligible for an additional sabbatical leave after completing twelve (12) consecutive semesters following the prior sabbatical.

##### **A.4.7.3.**

Every eligible Faculty member following specified Faculty Professional Growth procedures shall be given equal consideration in granting sabbatical leaves except as outlined in Section A.4.5.

**A.4.7.4.**

The Sabbatical Leave Subcommittee recommends the Residential Faculty of each College to be granted sabbatical leave based upon criteria in Section A.4.4. and available funding for sabbatical leaves.

**A.4.7.5.**

Members on sabbatical leave may not receive any remuneration from MCCCDC other than registration fees, conference fees, or reimbursement for travel funds directly related to and necessary for their sabbatical. Faculty requesting professional growth funds as part of their sabbatical must include these items as part of their sabbatical proposal. Faculty members on sabbatical are eligible for, but not guaranteed, registration, conference, and travel funds. Approval of a sabbatical proposal does not guarantee funding of professional growth funds.

**A.4.7.6.**

Members on sabbatical leave may not participate in voluntary services to the District or to College/District Faculty Governance unless permission is granted by the Faculty Professional Growth Committee and the Executive Vice Chancellor and Provost.

**A.4.7.7.**

A short-term sabbatical may be granted only if the goal cannot be accomplished during either a Fall or a Spring semester. A short-term sabbatical may not be taken in conjunction with a regular semester sabbatical.

**A.4.7.8.**

Any change in the sabbatical proposal must be formally approved by the Executive Vice Chancellor and Provost upon the recommendation of the Faculty Professional Growth Committee.

**A.4.7.9.**

Faculty members unable to complete their sabbatical programs because of an emergency, accident, or illness shall be allowed to modify the programs and shall continue to receive contract benefits, provided they have furnished satisfactory notification and evidence to the Faculty Professional Growth Committee and the Executive Vice Chancellor and Provost. The Vice Chancellor for Human Resources, or designee, and the District Faculty Association President will also be notified. Contract benefits will be governed by the benefit and disability provisions of the *RFP*.

**A.4.7.10.**

In case of alleged failure to follow the sabbatical proposal, the Chancellor will appoint a fact-finding committee to study the case. The committee will include the College President, the Faculty Senate President, and the Chair of the Faculty Professional Growth Committee. The committee will provide, without recommendation, its findings to the Chancellor, who will determine appropriate action. If a College does not have a Faculty Senate President, the College President will convene the Faculty to elect the Faculty representative.

#### **A.4.8. Performance Reports**

To ensure that the members on sabbatical have begun their leaves according to plan, each shall submit a statement indicating this to the Executive Vice Chancellor and Provost, the College President, and the Faculty Professional Growth Committee no later than thirty (30) days after the beginning of each semester and summer. Sabbatical salaries will be suspended if this requirement is not fulfilled.

#### **A.4.9. Return from Sabbatical**

##### **A.4.9.1.**

Each Faculty member returning from leave shall file, within sixty (60) days, a written report with the Faculty Professional Growth Committee, the Executive Vice Chancellor and Provost, and the College President. An official transcript will serve in lieu of a written report for full-time study programs.

##### **A.4.9.2.**

The recipient will be required to sign a promissory note for the sabbatical salary, which shall be forgiven at the rate of one (1) semester for each two (2) semesters of contract fulfilled. A short-term sabbatical will be considered a one (1) semester sabbatical for this obligation. If the recipient is unable to fulfill the term of the promissory note due to death or disability, the note shall be void.

#### **A.4.10. Publication During Sabbatical**

##### **A.4.10.1.**

When a Faculty member satisfies all of the conditions of his/her sabbatical agreement and, apart from the agreement, produces copyrightable material during the term of the sabbatical, he/she shall maintain sole ownership of the material.

##### **A.4.10.2.**

When a Faculty member produces copyrightable or patentable material as a condition of his/her sabbatical, the copyright or patent remains with the Faculty member, but the District retains the unlimited right for students and staff to use the material without payment of royalties. This right will apply to any subsequent revisions of the material.

### **A.5. PROFESSIONAL UNPAID LEAVES**

#### **A.5.1. Purpose of Leave**

Professional Unpaid Leaves not to exceed two (2) consecutive semesters may be granted to Appointive members of the Faculty for intellectual stimulation normally to be attained by study, research, travel, suitable work experience, or other creative activity. The purpose of the Professional Unpaid Leave is to upgrade the educational program of the College by improving Faculty competency.

#### **A.5.2. Administration of Professional Unpaid Leave Policy**

The Faculty Professional Growth Committee shall administer the Professional Unpaid Leave Policy.

### **A.5.3. Application Procedures**

#### **A.5.3.1.**

Applications for Professional Unpaid Leaves must be submitted to the appropriate Vice President for forwarding to the Faculty Professional Growth Committee no later than February 15<sup>th</sup> and shall include:

**A.5.3.1.1.** A statement of objectives of the proposed leave.

**A.5.3.1.2.** A statement relating the proposed leave to assigned duties.

**A.5.3.1.3.** A letter from the Department/Division Chair.

**A.5.3.1.4.** A letter from the College President or designee.

**A.5.3.1.5.** (If work related) a letter stating duties and responsibilities.

#### **A.5.3.2.**

The Faculty Professional Growth Committee may request additional written or oral explanations of proposals.

### **A.5.4. Recommendation Procedures**

#### **A.5.4.1.**

The Faculty Professional Growth Committee shall present its list of recommendations to the Chancellor and the Executive Vice Chancellor and Provost before April 1<sup>st</sup>. The District Faculty Association President will also receive a copy of this list.

#### **A.5.4.2.**

The Executive Vice Chancellor and Provost will determine those faculty members approved for Professional Unpaid Leave and will provide the determinations to the applicants, Professional Growth Committee, and Faculty Association President by May 1.

### **A.5.5. Criteria for Professional Unpaid Leave**

Criteria for Professional Unpaid Leave selection will include, but will not be limited to, the following: (The order of listing does not indicate a priority.)

**A.5.5.1.** Service to the District.

**A.5.5.2.** Completion of advanced degrees.

**A.5.5.3.** Completing resident requirement for an advanced degree.

**A.5.5.4.** Research and publication.

**A.5.5.5.** Curriculum and materials development.

**A.5.5.6.** Planned and approved travel related to the subject field.

**A.5.5.7.** Practical training or job experience in a subject-related field.

**A.5.5.8.** Updating of knowledge in subject field.

## **A.5.6. Policy**

### **A.5.6.1.**

Any change in a Professional Unpaid Leave Proposal must be formally approved by the Executive Vice Chancellor and Provost.

### **A.5.6.2.**

In the case of an alleged failure to follow the Professional Unpaid Leave Proposal, the Chancellor may appoint a fact-finding committee to study the case. The committee will include the College President, the Faculty Senate President, and the Chair of the Faculty Professional Growth Committee. The committee will submit a finding of fact to the Chancellor, who will determine an appropriate action. If the College does not have a Faculty Senate President, the College President will convene the Faculty to elect the Faculty representative.

## **A.5.7. Performance Reports**

### **A.5.7.1.**

To ensure that the Faculty members on Professional Unpaid Leave have begun their leaves according to plan, each shall submit a statement indicating this to the Executive Vice Chancellor and Provost, and the Faculty Professional Growth Committee no later than thirty (30) days after the beginning of each semester.

### **A.5.7.2.**

Within sixty (60) days after returning, a final report stating benefits and professional growth acquired shall be sent to the Faculty Professional Growth Committee, the Executive Vice Chancellor and Provost,, and the College President.

### **A.5.7.3.**

Failure to meet requirements as stated in Sections A.5.6.1, A.5.7.1, and A.5.7.2 shall cause the Professional Unpaid Leave to revert to the classification of Personal Unpaid Leave.

### **A.5.7.4.**

A Faculty member unable to complete his/her Professional Unpaid Leave Program because of accident or illness, shall be allowed to modify the program and shall continue to receive contract benefits, provided he/she has furnished satisfactory notification and evidence of the situation to the Faculty Professional Growth Committee. The Faculty member's contract benefits will be governed by the benefit and disability provisions of the *Residential Faculty Policies*..

## **A.6. PROFESSIONAL GROWTH PROJECTS**

Faculty members are eligible to apply for professional growth funds to:

### **A.6.1.**

Participate in conferences, workshops or professional meetings that will increase knowledge, skills, or attitudes enhancing one's role at the college and/or in Faculty development.

**A.6.2.**

Develop projects or programs for one or more Faculty members to improve knowledge, skills, or attitudes in a particular area.

**A.7. PROFESSIONAL GROWTH TRAVEL AND EXPENSES**

The Chancellor, with Governing Board approval, will allocate to the Faculty Professional Growth Committee a sum for travel and expenses to attend professional activities. The expenditures of such funds shall be administered by the College Travel Committee in compliance with Faculty Professional Growth policies.

**A.8. DENIAL OF PROFESSIONAL GROWTH**

Denial of sabbatical leave, professional unpaid leave, or professional growth travel and/or fees by the Faculty Professional Growth Committee is not grievable under the *Residential Faculty Policies* as outlined in Section 6.1. Members shall have the right to appeal decisions of the Faculty Professional Growth Committee on aforementioned activities to the Faculty Professional Growth Appeals Committee.

**A.9. BUDGET**

	<u>2014-2015-2016</u>
Travel-----	\$ <u>207,479,637,397</u>
Projects -----	<u>207,479,100,000</u>
Sabbaticals-----	1, <u>522,439,200,000</u>
<u>Travel, Projects, and Sabbaticals</u>	<u>\$1,937,397</u>

**A.9.1.**

Monies allocated for the fiscal year will be fungible among all the Professional Growth accounts. The Faculty Professional Growth Committee will make a recommendation to transfer funds to the Executive Vice Chancellor and Provost, who will approve the transfer.

**A.9.2.**

Unused Professional Growth monies may be carried forward to the next fiscal year.

**A.9.3.**

Annual changes to Appendix A should be proposed after the implementation of the process described in Appendix G.

**A.10. CLERICAL SUPPORT**

Clerical support will be provided for the Faculty Professional Growth Committee through the Office of the Executive Vice Chancellor and Provost. The Executive Vice Chancellor and Provost will be expected to provide no less than a full time, regular, twelve (12) month clerical position to support the Faculty Professional Growth Committee. Efforts will be made with regard to space requirements for the committee, its files, and other materials/equipment.

## **APPENDIX B - BENEFITS**

### **B.1. TRAVEL EXPENSES**

A Faculty member traveling on prior-approved college business shall be reimbursed for expenses, as stated in current travel procedures.

### **B.2. EMPLOYEE BENEFIT PROGRAM**

#### **B.2.1. Benefit Credits**

##### **B.2.1.1.**

The District will contribute benefit credits toward the employee's Flexible Benefits Program Flex credits will be allocated to both the "core" and "buy up" PPO options. The level of flex credits will be based upon the tier of medical coverage elected or the waiving of medical coverage.

<u>Medical coverage</u>	<u>Benefits</u>
Waiver	\$ 2,000
Employee only	\$ 7,928
Employee and child/children	\$16,291
Employee and spouse	\$14,019
Employee and Domestic Partner	\$14,019
Employee and family	\$19,929

##### **B.2.1.2.**

The Flexible Benefits coverage provided by the District for Faculty members in the course of their employment will also be provided in the same manner for those Faculty members while on sabbatical or other paid leaves of absences.

#### **B.2.2. Insurance**

##### **B.2.2.1. Hospitalization/Major Medical**

The Faculty member may purchase hospitalization/major medical insurance according to the Flexible Benefits Program.

##### **B.2.2.2. Income Disability Coverage**

According to the core program of the Flexible Benefits Program, the District will provide the member with long-term disability insurance equal to 66-2/3% of the Faculty member's base contract salary. The waiting period shall be ninety (90) calendar days or exhaustion of all sick leave, whichever comes last.

##### **B.2.2.3. Term Life Insurance**

According to the core program of the Flexible Benefits Program, the District will provide basic life insurance coverage of twenty thousand dollars (\$20,000). The Faculty member may purchase additional life insurance according to the Flexible Benefits Program.

### **B.3. DISTRICT-WIDE EMPLOYEE BENEFITS ADVISORY COUNCIL**

The District Faculty Association will have two (2) representatives on the District-wide Employee Benefits Advisory Council.

**B.4. REIMBURSEMENT FOR UNUSED SICK LEAVE: RETIREMENT OR DEATH IN SERVICE**

**B.4.1.**

A Faculty member who has a minimum of ten (10) years of satisfactory service (without a break in service) at the time of death or retirement from the District shall have his/her final preretirement contract amount adjusted to reflect payment, on a proportional basis, for unused accumulated sick leave allowance. This transaction will eliminate the balance of his/her accumulated sick leave.

**B.4.2.**

The amount of the contract adjustment shall be computed as follows: The daily salary rate of the last current contract of the deceased/retiree will be multiplied by twenty-five percent (25%), this product not to exceed forty dollars (\$40) per day. This product will then be multiplied by the number of unused sick days to determine the death/retirement benefit.

Years of Service at Death or Retirement	Maximum Number of Unused Accumulated Sick Days Allowed
Fifteen (15) or more .....	Two hundred (200)
Ten (10) to fifteen (15) .....	One hundred fifty (150)

**B.4.3.**

For the purpose of implementing this section, and for payment of unused sick leave, retirement shall apply to those Appointive members who are accepted into the Arizona State Retirement System. This option may not be exercised more than once in this District.

**B.5. LEAVES OF ABSENCE**

For all leave calculations, a day shall consist of 6 hours for instructional faculty and 7 hours for service faculty.

**B.5.1. Sick Leave**

**B.5.1.1.**

Faculty members on a nine (9) month contract are granted ten (10) days of sick leave per year. Other Faculty are allowed days proportionate to the number of months of annual employment. Faculty employed less than full time are allowed sick leave days prorated in the same manner as their salaries. These days are accumulated indefinitely. Such sick leave shall be credited to said Faculty members as earned. Each Faculty member shall be given an accounting of sick leave upon written request to the District Division of Human Resources.

**B.5.1.2. Bereavement Leave and Catastrophic Illness/Imminent Death Leave**

**B.5.1.2.1.**

Bereavement Leave (not chargeable to any other kind of leave), may be used up to but not in excess of five (5) business days due to the death of an employee's spouse/partner, father, mother, grandfather, grandmother, child, foster child, brother, sister, grandchild, stepchild, stepfather, stepmother, or spouse's/partner's father, mother, grandfather, grandmother, or in-laws in any one incident. (Bereavement is a paid leave.)

**B.5.1.2.2.**



Catastrophic Illness/Imminent Death Leave may be used up to but not in excess of five (5) business days, due to the Catastrophic Illness/Imminent Death of an employee's spouse/partner or family member of the employee or employee's spouse/partner, to include the following: father, mother, stepfather, stepmother, grandfather, grandmother, child, stepchild, foster child, brother, sister, grandchild, or in-laws in any one incident. Catastrophic Illness is defined as a sudden illness/injury that is seriously incapacitating such as those that have the potential for permanent disability or extensive hospitalization/confinement or death and requires immediate action. (Catastrophic Illness/Imminent Death is a paid leave separate from any other leave.)

**B.5.1.2.3.**

The appropriate College President/Vice Chancellor may, when circumstances warrant, grant Bereavement or Catastrophic Illness/Imminent Death Leave to any employee based upon the Catastrophic Illness/Imminent Death or death of a person other than those listed.

**B.5.1.2.4.**

In addition, travel time outside the state, not to exceed five (5) business days for Catastrophic Illness/Imminent Death and not to exceed five (5) business days for Bereavement in any one incident, may be charged against accrued sick leave.

**B.5.1.3.**

All provisions of sick leave apply when a member is prevented by illness from starting to work at the beginning of the contract year. However, should a Faculty member fail to appear at the beginning of the contract year for any reason other than illness, leave and salary for that contract year will be reduced proportionately by the time elapsed prior to assumption of duties.

**B.5.1.4.**

The Vice Chancellor for Human Resources, or designee, may, at the request of the College President or appropriate District official, require satisfactory evidence to substantiate illness absences, and may under certain conditions require that the Faculty member, at District expense, be examined by appropriate health professionals (including but not limited to physicians, psychologists, psychiatrists) selected by the District. The Faculty Association President will be informed of the District's intention to require such examinations.

## **B.5.2. Personal Time**

### **B.5.2.1.**

Personal leave, not to exceed four (4) days per calendar year (24 hours for teaching Faculty, 28 hours for service Faculty) (non cumulative), is available. Faculty employed less than full time are allowed personal leave days prorated in the same manner as their salaries. Faculty on prorated extended contracts are allowed personal leave days prorated in the same manner as their salaries. Personal time does not need to be approved. As much advance notice as possible should be given for scheduling purposes. Personal time is not to be used to routinely come in late or leave early from work. Personal leave will be charged to the employee's sick leave.

### **B.5.2.2.**

A Faculty member may be granted leave to participate in a professional or civic duty without loss of salary if prior written approval is granted by appropriate College President/Vice Chancellor. If a Faculty member receives compensation for a professional or civic activity, such compensation shall be deposited with the College Fiscal Officer or appropriate District official.

## **B.5.3. Family and Medical Leave**

Family and Medical Leave will be provided to regular full-time and regular part-time eligible employees not to exceed twelve (12) weeks within a twelve (12)-month period for adoption or childbirth, to care for an ill child, parent, or spouse/partner, or for the employee's own illness. The leave may be a paid or unpaid leave based upon the employee's accrued time available. Accumulation of accrued time will continue while the employee remains in a paid status. Accumulation of accrued leave will cease when the employee goes into an unpaid status but previously earned benefits will be held for the employee. The District will continue to pay the flexible benefits for the insurance coverage selected by the employee during the Family and Medical Leave.

For the duration of Family and Medical Leave, employees will not seek, nor be employed in outside employment during their hours of accountability. The Vice Chancellor for Human Resources, or designee, may grant exceptions for extenuating circumstances for individuals adversely affected by these provisions.

## **B.5.4. Medical Leave of Absence**

Should an employee request more time after exhaustion of the Family and Medical Leave, an employee may request a medical leave of absence for personal health reasons, (up to nine (9) months with up to ten (10) years of service, and up to fifteen (15) months with ten (10) or more years of service). A physician's statement, acceptable to the appropriate Human Resources staff or designee, must be received. Accumulation of accrued time will continue while the employee remains in a paid status. Accumulation of accrued time will cease when the employee goes into an unpaid status, but previously accrued benefits will be held for the employee. An employee who is granted a leave under this section will return to the position that he/she left. Failure to return from a Medical Leave of Absence will result in automatic termination.

For the duration of Medical Leave, employees will not seek, nor be employed in outside employment during their hours of accountability. The Vice Chancellor for Human Resources, or designee, may grant exceptions for extenuating circumstances for individuals adversely affected by these provisions.

**B.5.5. Leave of Absence for Personal Reasons**

Should an employee not be eligible for a Family and Medical Leave, a Personal Leave of Absence for personal reasons may be requested in up to one semester increments and must be approved by the appropriate supervisor, College President/Vice Chancellor with concurrence of the Vice Chancellor for Human Resources, or designee. Accumulation of accrued time will continue while the employee remains in a paid status. Accumulation of accrued time will cease when the employee goes into an unpaid status but previously accrued benefits will be held for the employee. An employee who is granted an approved leave under this section will return to the position which he/she left. Failure to return from an approved personal leave will result in automatic termination.

If known in advance, an employee may request a Personal Leave of Absence longer than one semester with approval from the supervisor, College President/Vice Chancellor with concurrence from the Vice Chancellor for Human Resources, or designee. A Personal Leave will have a cap of two semesters. With approval from the supervisor, College President/Vice Chancellor, the Vice Chancellor for Human Resources, or designee, may grant exceptions for extenuating circumstances.

**B.5.6. Medical Leave (Job-Related Disability)**

Any Faculty member requiring a medical leave due to a job-related disability may return, within two (2) years, to the same or a similar position upon evidence that the member can perform in a satisfactory manner. This evidence will be the result of an examination by a doctor employed by the ~~Governing Board~~Chancellor.

**B.6. INSURANCE COVERAGE DURING A DISABILITY**

**B.6.1. Insurance Coverage During a Medical Leave of Absence**

The District will continue to pay the flexible benefits for the insurance coverage selected by the employee based upon the following schedule:

<u>Years of Service</u>	<u>Premium Payment</u>
zero (0) to five (5)	six (6) months*
over five (5)	twelve (12) months*
over ten (10)	eighteen (18) months*
	*includes FMLA

**B.6.2. Insurance Coverage During a Leave of Absence for Personal Reasons**

The District will continue to pay the flexible benefits for the insurance coverage for the first twenty-four (24) weeks in a 12-month period. After twenty-four (24) weeks, the employee may continue to pay for the insurance coverage selected during the approved leave of absence.

**B.7. CHANGES TO APPENDIX B**

Annual changes to Appendix B should be proposed after the implementation of the process described in Appendix G.

## APPENDIX C - EXTRA PAY FOR EXTRA DUTY

### C.1. INTRODUCTION

C.1.1. Effective July 1, ~~2014~~2015, the dollar amounts included in the following sections of this appendix shall be increased by at least the same percentage as the salary schedule and be rounded off to the nearest fifty cents (\$0.50). The sections that are covered by this automatic increase and rounding are Sections C.3.3., C.4.1., C.4.2., C.5., C.6., C.7., C.9., C.11., C.15., C.16., C.17., C.18, and C.19. The dollar amounts in Sections C.4.1., C.4.2., and D.1.6. will be increased by at least the same percentage as reflected in the increase in the salary schedule or in Section C.3.3., whichever is higher.

C.1.2. Full-time Faculty are to be given first consideration when extra pay for extra duty assignments are staffed.

### C.2. AUTHORITY TO ESTABLISH RATES OF PAY AND PAYMENT

Authority shall be delegated to the Vice Chancellor for Human Resources from the ~~Governing Board~~Chancellor to establish rates of pay and to authorize such payments that may not be specifically covered by this policy. Such action shall be reported to the ~~Governing Board~~Chancellor in an informational manner; and, where possible, a uniform rate shall be included in the policy the year following its establishment through this provision.

### C.3. INSTRUCTIONAL FACULTY ASSIGNMENTS BEYOND THE REGULAR BASE CONTRACT

#### C.3.1. Overload Assignments During the Contract Year

During the contract year, Faculty may not exceed seven-and-one-half (7.5) overload hours (teaching) per semester in addition to their regular base contract. College presidents or designee(s) may approve additional paid nonteaching hours under unusual circumstances not to exceed twenty-five (25.0) load hours per semester for the total workload (teaching and non-teaching). Additions to the faculty contract for duties for teaching and non-teaching special services contract assignments are included in this limit and are considered to be above the regular base contract of fifteen (15.0) load hours per semester. Faculty members who are assigned additional overload hours will need to comply with reporting requirements. For Department/Division Chairs, overload assignments beyond the regular base contract are subject to the provisions of Appendix D, Section D.1.3.

It is the intent of this policy to assure maximum instructional capabilities and to assist Faculty in their professional endeavors; therefore, load limitations listed above shall include any combination of teaching assignments, Service Faculty assignments, and/or community service (noncredit) assignments.

#### C.3.2. Teaching Assignments Beyond Days of Accountability

A teaching load (following completion of 195 days of accountability in a contract year) shall consist of not more than fifteen (15.0) load hours, of which not more than nine (9.0) load hours may be concurrent.

#### C.3.3. Overload Rate

Effective July 1, 2014, Faculty members shall be paid at the rate of eight hundred sixty-three dollars (\$863) per load hour.

**C.3.4. Prorated Extended Contract Rate**

The weekly pay rate for additional weeks beyond the 195-day (39-week) academic year specified in 5.1.1.1. shall be equal to the Faculty member's base salary (as specified by the salary schedule in Appendix E) divided by 39 weeks.

**C.4. SERVICE FACULTY ASSIGNMENTS BEYOND THE REGULAR BASE CONTRACT (INCLUSIVE OF SUMMER)**

**C.4.1.** During their contract assignment, service Faculty who perform identical or similar duties (other than academic advising) on a scheduled basis, in addition to their regular assignment, shall be paid at the rate of forty-seven dollars and fifty cents (\$47.50) per clock hour for such work. Holidays that fall on the Faculty member's regularly scheduled day will be paid. Service faculty may not exceed twelve (12) additional clock hours per week in assignments the same as or similar to his/her regular duties. College presidents or designee(s) may approve additional clock hours under unusual circumstances not to exceed fifteen (15) clock hours per week. For service faculty who have instructional load hours, 5.4.2. shall apply.

**C.4.2.** For work performed outside of the base contract (before or after the contract year of 195 days of accountability), service Faculty (not on prorated extended contracts) will be paid at forty-seven dollars and fifty cents (\$47.50) per clock hour effective July 1, 2014, for performing such duties that are identical or similar to those that they perform during their regular contract assignments. Faculty on prorated extended contracts will be paid as specified in C.3.4.

**C.5. PAY FOR COMMUNITY SERVICE ACTIVITIES (NONCREDIT)**

A Faculty member (not on a prorated extended contract) who works in the community services (noncredit) program beyond his/her base contract (including time periods which may be before or after the required 195 days of accountability) shall be paid at the rate of twenty-eight dollars (\$28.00) per clock hour effective July 1, 2014. Faculty members on prorated extended contracts will be paid as specified in C.3.4.

**C.6. FACULTY SERVING AS ACADEMIC ADVISORS**

Faculty (not on prorated extended contracts) who serve, in addition to their regular base contracts, as advisors (including time periods which may be before or after the required 195 days of accountability) shall be paid at the rate of twenty-nine dollars and fifty cents (\$29.50) per clock hour effective July 1, 2014. Faculty members on prorated extended contracts will be paid as specified in C.3.4.

**C.7. FACULTY PAY FOR EDUCATIONAL DEVELOPMENT, PROFESSIONAL GROWTH PROJECTS, OR SUMMER COMMITTEES (NO STUDENT CONTACT)**

**C.7.1.** Instructional and service faculty (not on prorated extended contracts) employed to perform work with no student contact (*e.g.*, complete professional growth projects, participate in educational development projects, participate on summer committees such as hiring committees) in addition to their base contracts (including time periods that may be before or after the required 195 days of accountability) shall be paid at the rate of twenty-seven dollars and fifty cents (\$27.50) per clock hour effective July 1, 2014. Such work is not to be included in the weekly limitation (see Section C.3. of Appendix C) and shall be submitted on a Request for Personnel Services and paid on a time ticket or through a special services contract. All such work shall be completed in addition to the required hours of accountability. Faculty members on prorated extended contracts will be paid as specified in C.3.4.

**C.7.2.** Faculty who produce materials while performing such nonteaching activities as described above shall retain the right to the copyrights or patents for such materials. However, the District will retain the unlimited right for students and staff to use the materials without payment of royalties. This right will apply to any subsequent revisions of the materials.

**C.8. HONORARIUM PAYMENTS**

Payment of an honorarium to Faculty members may be allowed under the following conditions:

**C.8.1.**

A guest appearance for a scheduled event.

**C.8.2.**

Shall be approved in advance through the College President.

**C.8.3.**

Shall not exceed one hundred dollars (\$100) per appearance, which shall be interpreted to mean per date of appearance.

**C.8.4.** Honorarium payments exceeding one hundred dollars (\$100) may be approved by the College President or designee for workshops and other appearances that exceed the normal time frame for a typical guest appearance.

**C.9. CO-OP/INTERNSHIP (HEALTH OCCUPATIONAL, CLINICAL AND PRACTICUM NOT INCLUDED IN THIS SECTION)**

~~For 2014 – 2015,~~ The rate of pay will be two hundred sixty dollars and fifty cents (\$260.50) per student.

**C.10. FORUM SERIES**

Faculty may deliver no more than four (4) presentations per year at five hundred dollars (\$500) per presentation.

**C.11. SUBSTITUTE PAY**

Faculty who serve as substitutes (in addition to their regular hours of accountability) in the instructional program (including the evening program) will be paid at the rate of nineteen dollars (\$19.00) per each twenty-five (25) minutes or major portion thereof effective July 1, 2014.

**C.12. PAY FOR SUPERVISION OF THE EVENING PROGRAM**

Compensation will be at the rate of two-tenths (0.2) load hour per section, with concurrent sections calculated as a single section, not to exceed thirty (30) sections per semester. Compensation for Service Faculty will be based on the number of Service Faculty contract hours at the rate of two-tenths (0.2) load hour per contract hour, not to exceed thirty (30) hours per semester per supervisor. Supervisors are not compensated for supervising sections they teach in the evening program (as defined in 1.2.).

**C.13. PAY FOR SUPERVISION OF THE SUMMER SESSION**

Compensation will be at the rate of two-tenths (0.2) load hour per section, with concurrent sections calculated as a single section, not to exceed thirty (30) sections per session. Compensation for Service Faculty will be based on the number of Service Faculty contract hours at the rate of two-tenths (0.2) load hour per contract hour, not to exceed thirty (30) hours per session per supervisor. Supervisors are not compensated for supervising sections they teach in the summer session (as defined in 1.2.). The necessity for summer supervision will be

determined by the VPAA, or designee, in consultation with the Department/Division Chair, and if appropriate, the Occupational Program Director.

**C.14. PAY FOR SUPERVISION OF DUAL ENROLLMENT**

Compensation will be at the rate of 0.3% of the schedule base salary for each Dual Enrollment Instructor teaching the supervised discipline.

**C.15. PAY FOR EVALUATION OF ADJUNCT FACULTY**

In the absence of an evening supervisor, when a Faculty member evaluates an Adjunct Faculty member serving in the evening program, he/she shall be paid at the rate of nineteen dollars (\$19.00) per each twenty-five (25) minutes or major portion thereof for the evaluation visit effective July 1, 2014.

**C.16. PAY FOR EVALUATION OF DUAL ENROLLMENT INSTRUCTORS**

A Faculty member assigned to evaluate a Dual Enrollment Instructor will be paid one hundred fourteen dollars (\$114) per evaluation.

**C.17. OTHER INSTRUCTIONAL ACTIVITIES**

Academic Year ~~2013-2014~~2015-2016

<u>Activity</u>	<u>Pay</u>	<u>Additional Contract Weeks</u>
Band, Director	2,965.00	2 weeks @ \$ 706.00 for each 30 hour week (With appropriate college approval may be released for three semester hours per academic year rather than receiving the stipend.)
Assistant(s)	2,387.00	1 week @ \$ 706.00 for each 40 hour week
Choir, Director(s)	2,387.00	(With appropriate college approval may be released for three semester hours per academic year rather than receiving the stipend.)
Speech Activities, Director(s)	2,965.00	
Assistant(s)	2,387.00	
Theatre, Director(s)	2,565.50	per major production, not to exceed four (4) per academic year
Musical Theatre Director	2,565.50	per major production, not to exceed four (4) per academic year
Opera, Director(s)	2,565.50	per major production, not to exceed four (4) per academic year
Student Government Advisor(s)	2,387.00	
Intramural Director(s)	2,387.00	
Dance Concert Director	2,565.50	per major production, not to exceed four (4) per academic year

### C.18. ATHLETIC STIPENDS

Faculty serving as athletic coaches, directors, and trainers will receive stipends and reassigned time according to the following schedule:

	<u>Stipend</u>	<u>Reassigned Time</u>
Assistant Athletic Director	\$2,255.00	
Archery	\$5,088.50	1.5 load hours
Head Baseball & Softball Coach	\$6,896.00	3.0 load hours
Assistant Baseball & Softball	\$4,286.00	
Head Basketball	\$6,725.00	3.0 load hours
Assistant Basketball	\$4,066.50	1.5 load hours
Cross Country	\$4,286.00	1.5 load hours
Head Football Coach	\$8,314.50	4.5 load hours
Assistant Football	\$5,088.50	1.5 load hours
Golf	\$4,686.50	1.5 load hours
Head Soccer	\$5,879.00	3.0 load hours
Assistant Soccer	\$3,667.00	1.5 load hours
Tennis	\$4,686.50	1.5 load hours
Head Track Coach	\$5,879.00	3.0 load hours
Assistant Track	\$3,667.00	1.5 load hours
Head Volleyball	\$5,702.00	3.0 load hours
Assistant Volleyball	\$3,269.50	1.5 load hours
Head Wrestling	\$6,725.00	3.0 load hours
Assistant Wrestling	\$4,179.50	1.5 load hours
Trainer—Football	\$2,122.50	
Trainer—Basketball/Wrestling	\$1,900.00	
Trainer—Baseball/Track/Other	\$1,196.00	
Trainer—Women Volleyball/Cross Country	\$1,196.00	
Trainer—Women Basketball	\$1,196.00	
Trainer—Women Softball/Track and Field	\$1,196.00	

### C.19. ATHLETIC DIRECTOR PAY AND REASSIGNED TIME

#### C.19.1. Pay per Sport

<u>Sports</u>	
Football	\$1,638.00
Basketball	\$1,638.00
Soccer	\$1,144.00
Track	\$1,144.00
Baseball/Softball	\$1,144.00
Volleyball	\$1,144.00
Cross Country	\$656.50
Archery	\$656.50
Wrestling	\$656.50
Tennis	\$656.50
Golf	\$656.50



**C.19.2. Reassigned Time**

**C.19.2.1.** Twelve (12) hours per year for eight (8) sports

**C.19.2.2.** Fifteen (15) hours per year for nine (9) sports

**C.19.3. Summer Pay**

**C.19.3.1.**

Two (2) weeks prior to fall term (30 hours @ \$28.00 per hour) pays \$840 per week.

**C.19.3.2.**

Two (2) weeks following spring term (30 hours @ \$28.00 per hour) pays \$840 per week.

**C.19.3.3.**

Additional weeks (30 hours @ \$28.00 per hour) may be approved by the College President but are not guaranteed.

**C.20. OCCUPATIONAL PROGRAM DIRECTORS**

Appropriate remuneration for members serving as Occupational Program Directors for active programs will be determined as provided in Section D.2.

**C.21. CHANGES TO APPENDIX C**

Annual changes to Appendix C should be proposed after the implementation of the process described in Appendix G.

## APPENDIX D - DEPARTMENT/DIVISION CHAIRS, OCCUPATIONAL PROGRAM DIRECTORS, EVENING SUPERVISORS, AND SUMMER SUPERVISORS

### D.1. DEPARTMENT/DIVISION CHAIRS

#### D.1.1. Selection

Department/Division Chairs shall be selected from the membership of the Department/Division, as prescribed by the *College Plan*. The *College Plan* should describe the duties and responsibilities of the Chair inclusive of those duties outlined in Section D.1.2. ~~3-~~

#### D.1.2. Duties

Department/Division Chairs are Residential Faculty, as defined by the *RFP*, who are accountable for the supervision or management of a Department/Division within the college including all duties and responsibilities articulated in the *College Plan*.

#### D.1.3. Remuneration

Pay is determined at the rate of eleven percent (11%) of the schedule base salary, plus:

- one percent (1%) of the schedule base salary for each Faculty member of the Department/Division
- one-half percent (1/2%) of the schedule base salary for each Adjunct Faculty member teaching within the Department/Division
- one-half percent (1/2%) of the schedule base salary for each full-time staff member or equivalent supervised by the Chair.

Pay for part-time staff who are supervised and evaluated by the Department/Division Chair shall be prorated (*e.g.*, two half-time staff members equal one full-time staff member). Pay will not be granted for supervising Federal Work Study employees.

Pay for Occupational Program Directors who supervise and evaluate Residential and/or Adjunct Faculty shall be assigned as provided in Section D.2.3., rather than to the Department/Division Chair.

#### D.1.4. Overload Teaching

Department/Division Chairs will not teach on an overload basis during the day program. Exceptions, based on exigency, can be individually authorized by the appropriate Vice President.

#### D.1.5. Administrative Reassigned Time, Clerical Support, and Summer Extended Contract Hours

##### D.1.5.1.

Administrative load reduction, clerical support, and summer extended contract hours will be determined by the number of day FTTE in each Department/Division, adjusted as of the forty-fifth (45th) day of the current fall semester, and the number of residential service faculty. The number of day FTTE in the Department/Division will be determined by dividing the total day teaching load in the Department/Division by fifteen (15) and rounding up to the nearest whole number. ~~Administrative load reduction, clerical support, and summer extended contract hours will be determined by the number of day FTTE in each Department/Division, adjusted as of the forty-fifth (45th) day of the current fall semester, and the number of residential service faculty. The number of day FTTE~~

~~in the Department/Division will be determined by dividing the total day teaching load in the Department/Division by fifteen (15) and rounding up to the nearest whole number.~~

### D.1.5.2.

Division/Department reassigned time, clerical support, and extended contract hours:

<u>Day FTTE for fall semester plus the number of residential service faculty</u>	<u>Reassigned per Year</u>	<u>Clerical Support to Department/Division</u>	<u>Summer Extended Hrs.</u>
4-8	6.0	¼-½ 12 mos	24
9-16	9.0	½ 12 mos	33
17-26	12.0	1.0 12 mos	42
27-34	15.0	1-1½ 12 mos	51
35-Above	18.0	2.0 12 mos	60

### D.1.5.3.

The figures listed above may be supplemented by the College President. Modifications of secretarial help may be made by the College administration in consultation with the Department/Division involved.

### D.1.6. Summer Extended Contract Hours—Rates of Pay

Extended summer contract hours, authorized by the appropriate Vice President, will be paid at the rate of forty- seven dollars and fifty cents (\$47.50) per clock hour. (In most cases there will be a requirement that the Department/Division Chair be at the college just prior to the beginning of the fall semester.)

### D.1.7. Department/Division Chair Evaluation

Each Department/Division Chair shall be evaluated in the spring of each year in a manner prescribed by the appropriate Vice President. The evaluation shall include a review of the current year as well as planning parameters for the following year. Additionally, each Department/Division Chair shall be evaluated annually by the Faculty members in the Department/Division in a manner to be specified in the *College Plan*.

### D.1.8. Colleges With Both Divisions and Departments

Colleges with both divisions and departments will develop a *College Plan* for reassigned time and Chair pay. The *College Plan* will include the selection process along with the roles and duties of Department/Division Chairs. The parameters for these plans shall be in general conformity to those outlined in Section D.1. The Vice Chancellor for Human Resources, or designee, will review the plan for internal consistency.

## D.2. OCCUPATIONAL PROGRAM DIRECTOR

### D.2.1.

Those Faculty members who are responsible for coordinating approved occupational programs may receive remuneration or reassigned time as determined by the College President.

### D.2.2.

If reassigned time is taken, the Faculty member will not teach on an overload basis during the day program without approval by the appropriate Vice President.

### **D.2.3. Residential Faculty and Adjunct Faculty Management Remuneration**

Occupational Program Directors who supervise and evaluate Faculty shall be compensated at the rate of one percent (1%) of the schedule base for each Residential Faculty member teaching within the program. Occupational Program Directors who supervise and evaluate Adjunct Faculty shall be compensated at the rate of one half percent (1/2%) of the schedule base for each Adjunct Faculty member teaching within the program. Only the Occupational Program Director or the Department/Division Chair actually responsible for supervising and evaluating Faculty and/or Adjunct Faculty shall be compensated for those duties, not both.

In situations where Faculty and staff are not supervised by a Department/Division Chair and are supervised by the Occupational Program Director, the Occupational Program Director is accountable for the supervision or management of an academic program within the college including all duties and responsibilities articulated in the *College Plan*. In no case should both a Chair and an Occupational Program Director perform these duties simultaneously and only one of either the Chair or the Occupational Program Director shall receive remuneration for these duties.

### **D.2.4.**

A Faculty member may not receive pay and/or reassigned time as both a Department/Division Chair and an Occupational Program Director.

### **D.2.5. Occupational Program Director Evaluation**

Each Occupational Program Director shall be evaluated in the spring of each year in a manner prescribed by the appropriate Vice President. The evaluation shall include a review of the current year as well as the planning parameters for the following year.

## **D.3. EVENING SUPERVISORS**

Evening supervisors provide supervision during the evening program. Each college will determine the process by which evening supervisors are selected.

### **D.3.1. Duties**

Duties may include, but are not limited to, scheduling of classes, recruitment, selection and evaluation of Adjunct Faculty, resolution of problems, and supervision of staff. Specific duties will be determined in collaboration with the Division/Department Chairperson, Occupational Program Director, or appropriate instructional administrator, as appropriate.

### **D.3.2. On-Site Presence**

Evening supervisors will be available in-person to Faculty, staff, and students in the evening program. The specific on-site days and hours will be determined in consultation with the Department/Division Chair, Occupational Program Director, or appropriate instructional administrator, as appropriate, and should be reflective of class meeting times or, in the case of service faculty, evening hours of operation. Hours will be scheduled and posted.

#### **D.3.2.1. Initial Class Meetings**

Evening supervisors will be available on-site during the initial meetings of classes in accordance with the posted schedule determined in collaboration with the Department/Division Chair.

#### **D.3.2.2. Subsequent Class Meetings**

Evening supervisors will be available on-site on a periodic basis through the remainder of the semester in accordance with the posted schedule determined in collaboration with the Department/Division Chair. It is not expected that supervisors will be on campus every week.

#### **D.3.3. Off Campus Accountability**

Evening supervisors will be available via e-mail and/or phone when the classes they supervise are meeting and will make their contact information available to those they supervise.

### **D.4. SUMMER SUPERVISORS**

The necessity for summer supervision in addition to that provided by the Department/Division Chair summer extended hours contract will be determined by the VPAA, or designee, in consultation with the Department/Division Chair, and if appropriate, the Occupational Program Director. Summer supervisors provide supervision during the summer session. Each college will determine the process by which summer supervisors are selected.

#### **D.4.1. Duties**

Duties may include, but are not limited to, scheduling of classes, recruitment, selection and evaluation of Adjunct Faculty, resolution of problems, and supervision of staff. Specific duties will be determined in collaboration with the Division/Department Chairperson, Occupational Program Director, or appropriate instructional administrator, as appropriate.

#### **D.4.2. On-Site Presence**

Summer supervisors will be available in-person to Faculty, staff, and students in the summer session. The specific on-site days and hours will be determined in consultation with the Department/Division Chair, Occupational Program Director, or appropriate instructional administrator, as appropriate, and should be reflective of class meeting times or, in the case of service faculty, summer hours of operation. Hours will be scheduled and posted.

##### **D.4.2.1. Initial Class Meetings**

Summer supervisors will be available on-site during the initial meetings of classes in accordance with the posted schedule determined in collaboration with the Department/Division Chair.

##### **D.4.2.2. Subsequent Class Meetings**

Summer supervisors will be available on-site on a periodic basis through the remainder of the session in accordance with the posted schedule determined in collaboration with the Department/Division Chair. It is not expected that supervisors will be on campus every week.

#### **D.4.3. Off Campus Accountability**

Summer supervisors will be available via e-mail and/or phone when the classes they supervise are meeting and will make their contact information available to those they supervise.

**APPENDIX E - RESIDENTIAL FACULTY SALARY SCHEDULE**

**Maricopa Community College District  
Residential Faculty Salary Schedule  
2014—2015 – 2016  
Effective 7/1/2014**

**Base Salary**

Credit Hour	0.33%	\$146.69
Vertical Increment	7%	\$3112

Step	IP	IP+12	IP+20	IP+24	IP+36	IP+40	IP+48	IP+60	IP+75	IP+85	Ph.D.
1	\$44,452	\$46,212	\$47,386	\$47,973	\$49,733	\$50,320	\$51,493	\$53,253	\$55,454	\$56,921	\$57,654
2	\$47,564	\$49,324	\$50,498	\$51,085	\$52,845	\$53,432	\$54,605	\$56,365	\$58,566	\$60,033	\$60,766
3	\$50,676	\$52,436	\$53,610	\$54,197	\$55,957	\$56,544	\$57,717	\$59,477	\$61,678	\$63,145	\$63,878
4	\$53,788	\$55,548	\$56,722	\$57,309	\$59,069	\$59,656	\$60,829	\$62,589	\$64,790	\$66,257	\$66,990
5	\$56,900	\$58,660	\$59,834	\$60,421	\$62,181	\$62,768	\$63,941	\$65,701	\$67,902	\$69,369	\$70,102
6	\$60,012	\$61,772	\$62,946	\$63,533	\$65,293	\$65,880	\$67,053	\$68,813	\$71,014	\$72,481	\$73,214
7	\$63,124	\$64,884	\$66,058	\$66,645	\$68,405	\$68,992	\$70,165	\$71,925	\$74,126	\$75,593	\$76,326
8	\$66,236	\$67,996	\$69,170	\$69,757	\$71,517	\$72,104	\$73,277	\$75,037	\$77,238	\$78,705	\$79,438
9	\$69,348	\$71,108	\$72,282	\$72,869	\$74,629	\$75,216	\$76,389	\$78,149	\$80,350	\$81,817	\$82,550
10	\$72,460	\$74,220	\$75,394	\$75,981	\$77,741	\$78,328	\$79,501	\$81,261	\$83,462	\$84,929	\$85,662
11	\$75,572	\$77,332	\$78,506	\$79,093	\$80,853	\$81,440	\$82,613	\$84,373	\$86,574	\$88,041	\$88,774
12	\$78,684	\$80,444	\$81,618	\$82,205	\$83,965	\$84,552	\$85,725	\$87,485	\$89,686	\$91,153	\$91,886
13	\$81,796	\$83,556	\$84,730	\$85,317	\$87,077	\$87,664	\$88,837	\$90,597	\$92,798	\$94,265	\$94,998
14				\$88,429	\$90,189	\$90,776	\$91,949	\$93,709	\$95,910	\$97,377	\$98,110

Initial Placement (IP) indicates initial placement on the salary schedule for any Faculty member with an associates, bachelors, or masters degree. Faculty are initially placed in accordance with 4.3.3.

**Wage & Salary for MCCC**

Credit hours are paid for each hour earned.

## APPENDIX F - COMMON POLICIES

Common policies adopted by the ~~District Governing Board~~ Chancellor apply to all employees in the District. There is agreement that the following policies will be removed from the *Residential Faculty Policies* and become part of the Common Policies. Until such time as these policies are formally adopted by the ~~District Governing Board~~ Chancellor, they shall remain in force as contained in the 1989-90 *Residential Faculty Policies*.

Additions, changes, or deletions to the Common Policies will be in accordance with the procedural operations of the Common Policies Committee.

- Employment Requirements
- Military Leave
- Jury Duty
- Voting in Public Elections
- ~~Governing Board Rights~~
- Arizona State Retirement Program
- Social Security Program
- Hiring of Relatives
- Tax Sheltered Annuities
- Industrial Compensation
- Tuition Waivers
- Employee Policy Manual Distribution
- Early Retirement



## **APPENDIX G - PROCESS FOR RECOMMENDATIONS REGARDING COMPENSATION ITEMS**

### **G.1. Introduction**

#### **G.1.1.**

Effective July 1, 2004, each year the starting point for potential salary changes will be stated by September 15<sup>th</sup> through the Vice Chancellor of Business Services. Specifically, based on financial forecasts and compliance with legal and policy requirements as depicted in the current Financial Plan, this will reflect a recommendation on a cost of living adjustment, step, professional growth per the formula and flex benefits as of this date, which may change as new information becomes available.

#### **G.1.2.**

After September 15<sup>th</sup> and prior to October 1<sup>st</sup> discussions on compensation items will be moved into the Meet and Confer process. A recommendation from Meet and Confer is desired by February 28<sup>th</sup>; however, it is understood that recommendations are not binding on the District and the MCCCCD Governing Board retains sole authority for budget adoption and salary setting.

### **G.2. Determining Factors**

Determining factors for a Meet and Confer recommendation may include:

#### **G.2.1.**

The cost of a cost-of-living increase reflective of an amount equal to the total increase in the Western Consumer Price Index as determined by the U.S. Department of Labor, Bureau of Labor Statistics for the preceding July 1<sup>st</sup> – June 30<sup>th</sup> fiscal year.

#### **G.2.2**

The cost of a step increase as stated in Section 4.6.

#### **G.2.3.**

The cost of professional growth for every new Faculty member in the amount of \$1,250.

#### **G.2.4.**

Other expenditure needs that are considered to be priorities as a result of District-wide planning processes.

#### **G.2.5.**

All of these cost as compared to revenue availability and maintenance of financial stability requirements.

#### **G.2.6.**

Compliance with the Expenditure Limitation.

### **G.3. Recommendation Procedures**

This change affects any Faculty hourly wage or benefit including but not limited to: Benefit Credits (Appendix B, *RFP*) and Extra Pay for Extra Duty (Appendix C, *RFP*).

## APPENDIX H - PROFESSIONAL CODE OF ETHICS

Professors, guided by a deep conviction of the worth and dignity of the advancement of knowledge, recognize the special responsibilities placed upon them. Their primary responsibility to their subject is to seek and to state the truth as they see it. To this end professors devote their energies to continuously developing and improving their scholarly competence. They accept the obligation to exercise critical self-discipline and judgment in using, extending, and transmitting knowledge. They practice intellectual honesty. Although professors may follow subsidiary interests, these interests must never seriously hamper or compromise their freedom of inquiry.

As teachers, professors encourage and protect the free pursuit of learning in their students. They hold before them the best scholarly and ethical standards of their discipline. Professors demonstrate respect for students as individuals and adhere to their proper roles as intellectual guides and counselors. Professors make every reasonable effort to foster honest academic conduct and to ensure that their evaluations of students reflect each student's true merit. They respect the confidential nature of the relationship between professor and student. They avoid any exploitation, harassment, or discriminatory treatment of students. They acknowledge significant academic or scholarly assistance from them. They protect their academic freedom.

As colleagues, professors have obligations that derive from common membership in the community of scholars. Professors do not discriminate against or harass colleagues. They respect and defend the free inquiry of associates, even when it leads to findings, practices and conclusions that differ from their own. Professors acknowledge academic debt and strive to be objective in their professional judgment and supervision of colleagues. Professors accept their share of faculty responsibilities for the governance of their institution and for maintaining the highest professional standards through a meaningful culture of peer review.

As members of an academic institution, professors seek above all to be effective teachers and scholars. Although professors observe the stated regulations of the institution, provided the regulations do not contravene academic freedom, they maintain their right to criticize respectfully and seek revision. Professors give priority to their paramount responsibilities within their institution when determining the amount and character of work done outside it. When considering the interruption or termination of their service, professors recognize the effect of their decision upon the program of the institution and give due notice of their intentions.

As members of their community, professors maintain the rights and obligations of other citizens. Professors measure the urgency of these obligations in the light of their responsibilities to their subject, to their students, to their profession, and to their institution. When they speak or act as private persons, they avoid creating the impression of speaking or acting for their college. As citizens engaged in a profession that depends upon freedom for its health and integrity, professors have a particular obligation to promote conditions of free inquiry and to further public understanding of academic freedom.